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The effect of fission-energy Xe ion irradiation on dissolution of UO₂ thin films

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1. Introduction

Some countries have decided or are in a position to decide in favour of complete or partial geological disposal of spent nuclear fuel (SNF) [1]. A safety case assessment of any geological repository for SNF requires the prediction of the release rates of radioactive elements from the fuel once the containers fail and contact with groundwater is established [2,3]. Despite a lot of effort being put into studying the different parameters relevant to the dissolution of spent nuclear fuel under geological disposal conditions [1–32] there are still some aspects that require further clarification.

For example, to the best of our knowledge there is only one publication in the open literature, produced by Matzke [25], which considers the effect of radiation damage on the dissolution of UO₂ thin films. The dissolution experiment was conducted under a nitrogen atmosphere (200–900 O₂ ppm in N₂) to study the effect of the induced irradiation damage and mixing on the dissolution of the UO₂ matrix. The irradiated samples showed a decrease in the amount of dissolved uranium, as compared to the corresponding unirradiated samples. This was ascribed to the irradiation-induced chemical mixing of the UO₂ films with the substrate elements, which resulted in stabilisation of the UO₂ matrix and increased its aqueous durability. Secondary phases were also observed on the surface of the UO₂ films after the dissolution experiment.

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which has the highest radiotoxicity \cite{38}. Thus, the high burn-up structure has been studied widely \cite{36,39,43,52,53}. However, the exact mechanism, the kinetics and the extent of its formation are still unclear \cite{37,39,43,47}.

Dissolution rate and solubility of uranium dioxide in aqueous environment are strongly dependant on uranium oxidation state, as $U^{VI}$ is more soluble than $U^{IV}$ by several orders of magnitude \cite{14}. As a result, oxidation of $UO_2$ increases its solubility by almost five orders of magnitude \cite{11}. Another key factor which determines the kinetics of uranium dioxide dissolution is its solid-state conductivity \cite{2,3}. Nakae et al. \cite{54} showed that the dependence of electrical conductivity of $UO_2$ on radiation damage is rather complex, but generally the radiation damage results in a decrease of the electrical conductivity of $UO_2$.

Although the original intent of our work was to assess the explicit effect of radiation damage by fission-energy ions on the dissolution of the $UO_2$ matrix to contribute to the gap knowledge in this field, we induced some chemical mixing between the $UO_2$ film and the substrate elements (La, Sr, Al, Ta, O). However, we do believe that the produced complex chemical system with the accumulated radiation damage is relevant for understanding the dissolution of the high burn-up structure \cite{5}. Hence, the current work considers the effect of radiation damage and the associated chemical mixing between the $UO_2$ film and the substrate elements on aqueous durability of the modified $UO_2$ matrix.

For this purpose, thin films of $UO_2$ dioxide on LSAT (Lanthanum Strontium Tantalum oxide) substrates were produced and irradiated by 92 MeV $^{129}$Xe$^{2+}$ ions to a fluence of $4.8 \times 10^{15}$ ions/cm$^2$ to simulate the fission damage that occurs within nuclear fuels \cite{55}. The results of thorough characterisation of the irradiated and as-produced thin films were published in Refs. \cite{55,56}. A 95-day static batch dissolution experiment was conducted under a nitrogen atmosphere. The uranium concentration in the sampled solutions was determined by ICP-MS (Inductively Coupled Plasma Mass Spectrometry). After the dissolution experiment the surfaces of the samples were characterised using SEM (Scanning Electron Microscopy), EDX (Energy Dispersive X-ray spectroscopy), AFM (Atomic Force Microscopy) and XRD (X-ray Diffraction) techniques.

2. Experimental details

2.1. Sample production and irradiation

Thin films of uranium dioxide were produced by reactive sputtering onto single crystal LSAT substrates with (001), (110) and (111) crystallographic orientations as described in detail in Ref. \cite{55}. Table 1 summarises the samples produced. Post fabrication, the thin films (and substrates) were cut into two halves using a diamond saw, such that one half could be used for ion irradiation, whilst the other half was left as a control for comparison measurements. The irradiated halves are denoted by an asterisk, *, in the sample numbering scheme.

To simulate the damage produced by fission fragments in nuclear fuel, the samples were irradiated with 92 MeV energy $^{129}$Xe$^{2+}$ ions to a fluence of $4.8 \times 10^{15}$ ions/cm$^2$ on the IRRSUD beamline at the GANIL accelerator, Caen, France with a detailed description given in Ref. \cite{55}. The SRIM code \cite{57} indicated that the Xe ions completely penetrate the $UO_2$ thin films (140 nm max) and the electronic stopping regime dominates the dissipation of ion energy throughout the entire film. The Xe ions stop in the substrate at a depth of $\sim 7.3 \mu m$ beneath the sample's surface \cite{55}.

2.2. Dissolution experiment

The 5 × 10 mm halves of the irradiated and as-produced samples were cut further into 5 × 5 mm sections. One set of the produced 5 mm squares of the original samples was used for dissolution experiments, whereas the other set was retained for further measurements.

The dissolution experiment was conducted in a glove box under nitrogen atmosphere with the level of oxygen in the range 200–900 ppm. Deionised Milli-Q water (18.2 MΩ/cm) was bubbled with nitrogen over night before it was introduced into the glove box to reduce the amount of dissolved oxygen. The samples were pre-washed by placing them into vials containing 3 ml of the deionised water for 5 h. Then, the samples were rinsed with the deionised water, gently dried with a tissue and placed into dissolution vessels containing 5 ml of the deionised water. Static replenishment tests were run where ~1 ml of the solution sample was taken out into a vial at various intervals and ~1 ml of the deionised water was replenished. All vessels were tightly closed to prevent water evaporation. Two leaching vessels were filled with 5 ml of deionised and deoxygenated water as control blanks. The amount of the solution transferred from the dissolution vessels into the vials was monitored by weighting empty and filled vials. The dissolution experiment was performed at an ambient temperature of ~20 °C for 95 days.

Each aliquot of solution was acidified with 0.15 μM of 15.5 M HNO3 and analysed on a Perkin Elmer SCIEX Elan DRC II quadrupole ICP-MS. The concentrations presented in Section 3.2 were corrected for the acid additions and represent U concentration before the dilution. The calibration standards for U, La, Sr, Al and Ta were prepared as an external calibration using serial dilutions of standards (blank, 0.01, 0.05, 0.1, 1, 10, 100 ppb (mass basis)) prepared from single element high purity standards (CPI, California, USA) in high purity 1 vol% HNO3 (quartz distilled in house). The ICP-MS internal standards were 10 ppb Rh and In in 1 vol% HNO3, added online with a t-piece and mixing tube prior to the nebuliser. An independently prepared quality control standard, containing uranium among other elements at 0.25 ppb (mass basis), (SPS-SW2, LGC Standards, UK) was repeatedly analysed throughout the run to check for calibration accuracy (approx. 5%) with a similar precision. Instrumental drift was less than 5% measured for the raw intensity of the internal standards during the entire analytical run. ICP-MS sensitivity in this configuration was $5 \times 10^{6}$ cps/ppb In with CeO/ Ce ratios = 3%. Concentrations results were calculated by the Elan v3.4 software with a simple linear calibration line and intercept set to zero. The raw intensities were blank subtracted and internal standard normalised before calibration calculations were performed.

2.3. Post-dissolution sample characterisation

Following the dissolution experiment, the irradiated and as-produced samples were analysed using SEM, EDX, AFM and XRD techniques in attempt to detect and characterise any secondary phase formations. SEM images were obtained using a Zeiss Leo
Supra 50VP scanning electron microscope equipped with an X-Max 80 mm² EDX detector (Oxford Instruments). To reduce the charging effects on the sample, the analysis was performed in a low-vacuum regime, with a 39 Pa pressure of nitrogen and a variable pressure secondary electron (VPSE) detector used to acquire images. Surface composition was examined using EDX. The EDX spectral deconvolution and elemental quantification were performed using the INCA software (Oxford Instruments) with ZAF-correction. Before every series of EDX measurements, signal intensity was recalibrated in low-vacuum mode using a Co standard sample. AFM images were obtained on NT-MDT N'Tegra Aura atomic force microscope with low-vacuum mode using a diamond ART-300 tips (Artech Carbon) with a radius of 10 nm and cantilever resonance frequency of approximately 300 kHz using the same setting as described in Ref. [55]. The samples were analysed in Bragg-Brentano geometry on a Rigaku D/MAX 2500 diffractometer with rotating copper anode and curved graphite [002] monochromator for Cu Kα1,2 installed on the secondary beam. Samples were spun during signal collection in all cases.

3. Results

3.1. Sample characterisation

The unirradiated samples all were flat and AFM measurements (Fig. 1a) gave the root mean square roughness (RMS) as low as 0.35 nm and average height variation of 5.8 nm. The ion irradiation caused significant restructuring of the films (Fig. 1b): a discrete small grains (~3 µm), larger circular (up to ~40 µm) and linear formations were observed in AFM and SEM studies. XRD analysis showed that the ion irradiation caused some significant crystallographic rearrangements manifested by disappearance of some originally present and appearance of new UO₂ XRD reflections [55]. XPS analysis [56] showed (Table 2) that the as-produced films had the oxygen coefficient, k₂O, values in the range 2.07–2.11 and the ion irradiation resulted in an increase of the k₂O values up to 2.11–2.31 with the corresponding decrease in the relative proportion of U⁴⁺ and increase in the relative proportion of U⁵⁺ and U⁶⁺ ions at the surface of the UO₂ thin films.

EDX [55] and XPS [56] analyses indicated that significant substrate-film mixing took place as a result of the ion irradiation and the microstructure of the irradiated samples is not uniform in elemental composition. The readers are referred to Refs. [55] and [56] for more details on characterisation of the as-produced and irradiated samples.

3.2. Dissolution results

pH and Eh values of the solutions were measured at the end of the dissolution experiment once the samples were removed from the solutions. pH values were in the range 6.4–6.6 and Eh values in the bulk of solutions were in the range +200–240 mV measured by a combined electrode consisting of a Pt working electrode relative to the Ag/AgCl reference electrode in 3 M KCl solution (or +407–447 mV relative to the standard hydrogen electrode), and were similar to the values of the deionised water.

The regular analysis of the 0.25 ppb U quality control standard showed a maximum error in U concentration of 9%. The measured U concentration for the blank runs was in the range 0–1 × 10⁻¹¹ mol/l. Hence, the measurement error of 9% or ±1 × 10⁻¹¹ mol/l, whichever is greater, should be applied to the obtained U concentration values. The error bars are not plotted on the dissolution graphs (Figs. 2 and 3) for the sake of clarity as their sizes do not affect the observed trends.

Fig. 2 shows a plot of U concentration as a function of dissolution time for the irradiated and as-produced samples and Fig. 3 presents the dissolution curves for the irradiated samples separately for clarity.

<table>
<thead>
<tr>
<th>Sample</th>
<th>k₂O in UO₂(%) ±0.01</th>
<th>k(%)</th>
<th>U⁴⁺</th>
<th>U⁵⁺</th>
<th>U⁶⁺</th>
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<tbody>
<tr>
<td>SN489</td>
<td>2.11</td>
<td>44</td>
<td>45</td>
<td>11</td>
<td></td>
</tr>
<tr>
<td>SN489*</td>
<td>2.31</td>
<td>0.3</td>
<td>68</td>
<td>31</td>
<td></td>
</tr>
<tr>
<td>SN490</td>
<td>2.07</td>
<td>58</td>
<td>35</td>
<td>7</td>
<td></td>
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<tr>
<td>SN490*</td>
<td>2.11</td>
<td>44</td>
<td>45</td>
<td>11</td>
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<tr>
<td>SN491</td>
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<td>52</td>
<td>39</td>
<td>9</td>
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</tr>
<tr>
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<td>33</td>
<td>52</td>
<td>14</td>
<td></td>
</tr>
<tr>
<td>SN492</td>
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<td>48</td>
<td>42</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>SN492*</td>
<td>2.17</td>
<td>25</td>
<td>57</td>
<td>17</td>
<td></td>
</tr>
</tbody>
</table>

Table 2 Summary of the XPS results: the oxygen coefficient k₂O at the surface of the UO₂ thin films with the corresponding composition of uranium ions k(%) [56].

Fig. 2. A plot of U concentration as a function of dissolution time for the thin film samples of UO₂ on LSAT substrates. The solid lines are only added to guide the eye.
The uranium concentration ratios for SN489/SN489*, SN490/ SN490*, SN491/SN491* and SN492/SN492* sample pairs were 28, 13, 4.3 and 5.3, respectively, on the 95-th day of dissolution. Uranium concentration values at the end of the experiment were in the range $1.1 \times 10^{-9}$ to $2.6 \times 10^{-3}$ mol/l for the unirradiated samples and in the range $4 \times 10^{-11}$ to $4.9 \times 10^{-9}$ mol/l for the irradiated samples. Both the transient release and the final concentration of uranium from the unirradiated samples were higher than for the unirradiated samples.

The XPS study [56] showed that sample SN490 had a higher carbonaceous contamination of the surface than other unirradiated samples. This carbonaceous layer could act as a barrier for water contact with the UO$_2$ surface. As a result, sample SN490 showed lower U concentration in the pre-wash solution and a delayed release of uranium, as compared to sample SN491. For irradiated samples SN490* and SN491* the relative separation between the dissolution curves is higher than for unirradiated pair SN490/ SN491, which correlates well with a higher difference in the oxygen coefficient and uranium ionic composition — see Table 2. Sample SN490*, which almost had no U$^{4+}$ ions (Table 2), showed the highest uranium concentration (3 $\times$ 10$^{-8}$ mol/l) in the pre-wash solution as compared to samples SN490—SN492* (2 $\times$ 10$^{-11}$—2.1 $\times$ 10$^{-10}$ mol/l). This observation is consistent with the fact that uranium in higher oxidation states is more soluble than in the oxidation state 4$^{+}$ [11]. For other samples there is no apparent correlation between the surface composition and the measured uranium concentration values.

The presence of maximum in the dissolution curves indicates that precipitation of uranium containing secondary phases is likely to take place — dissolution-precipitation behaviour is expected. On the last day of dissolution the dissolution curves for all unirradiated samples showed negative gradient indicating that further decline in U concentration is likely to happen with time due to continuing formation of secondary phases.

Among the unirradiated samples, sample SN492 showed the highest measured U concentration values at the beginning of dissolution and then the values converged towards the measured values for samples SN490 and SN491. Sample SN498 showed the lowest U measured values and samples SN490 and SN491 showed intermediate measured U concentration values. From this observation it is possible to make a conclusion that samples with the expected single domain of UO$_2$ crystal growth ((210) for SN489 and (001) for SN490 and SN491) have higher resistance to dissolution than samples with multiple domains of UO$_2$ crystal growth ((111), (110) and (311) for SN492).

On the last day of dissolution the dissolution curves for all irradiated samples, except for sample SN489* (zero gradient), have positive gradients indicating that increase in U concentration is likely to happen with time. This observation is consistent with the work by Serrano-Purroy et al. [31], where it was observed that it took longer for the samples from the outer part of the irradiated fuel pellet (higher burn-up) to reach the same solubility value than for the samples from the inner part of the fuel pellet (lower burn-up). The reason for this behaviour is not clear. It can be the case that surface restructuring (oxidation in the work by Serrano-Purroy et al. [31] and, possibly, surface amorphisation [13] during the dissolution in our work) results in a destabilising effect of the dopants against oxidative dissolution of the UO$_2$ matrix (discussed in Section 4).

Among the irradiated samples, sample SN489* showed the dissolution curve with the lowest measured U concentration values followed by sample SN490*. The dissolution curve for sample SN491* showed mainly the highest U concentration values, but the dissolution curve for sample SN492* exceeded them towards the end of dissolution. From the observed trend in the measured uranium concentration values of the irradiated samples it is impossible to comment on the effect of the initial crystal growth domains on post-irradiation dissolution.

### 3.3. Post-dissolution results

EDX analysis showed that uranium remained on the surface of the unirradiated and irradiated samples. Hence, there was no complete uranium oxide dissolution from the samples. SEM (Fig. 4) and AFM (Fig. 5) analyses revealed that a secondary phase in the form of tubes with a length in the range of 5—10 µm and a diameter of ~0.5 µm was formed at the surface of the unirradiated samples during the dissolution experiment.

In addition, AFM and SEM (not shown) analyses also indicated that a secondary phase in the form of circular grains with a diameter in the range of 0.15—0.5 µm was formed (Fig. 6). It is not clear if the composition of the grain shape secondary phase is identical to the tube shape secondary phase.

Secondary phases were not observed on the surface of the irradiated samples, however this may be due to the higher surface roughness of the samples, which makes discrimination of surface precipitates more difficult. The high surface roughness of the irradiated samples suggests that it will be difficult to achieve a continuous coverage of the surface by secondary phases. This can explain why an increase in uranium concentration is observed.
have been subjected to oxidative dissolution\cite{2,3}. The observed dissolution caused chemical mixing\cite{58,59} of the UO$_2$ matrix with the substrate elements which took place in this work. McEachern and Taylor\cite{61} suggested that larger substitutional ions can provide stabilisation of the UO$_2$ matrix from oxidation by limiting the availability of the interstitial sites required for the incorporation of interstitial oxygen during the oxidation. Park and Olander\cite{62} showed that trivalent rare earth dopants act as effectively negative charge and repel neighbouring interstitial oxygen ions, causing elimination of interstitial sites for oxygen occupation. As a result, Casella et al.\cite{27} and Radzan and Shoesmith\cite{8} observed that doping of UO$_2$ matrix with rare earth elements caused a significant decrease in the oxidative dissolution rate. In addition, the high fluence irradiation could result in a reduced electrical conductivity of the UO$_2$ matrix\cite{54}, which leads to a reduced dissolution rate\cite{2,3}. Hence, our observation is consistent with some of the previous studies\cite{8,27,31}. However, our observation is not consistent with the work by Matzke\cite{25}, where an increase in the dissolution rate was observed after irradiating UO$_2$ and UO$_2$-based simfuel with 40 and 45 keV ions, and with the work on CeO$_2$ samples (inactive analogue to UO$_2$)\cite{63} irradiated by the same 92 MeV Xe ions. The main reason for this discrepancy is attributed to the ion-beam-induced chemical mixing of the UO$_2$ film with the substrate elements which took place in this work.

The measured uranium concentration values at the end of the dissolution experiment were in the range $4 \times 10^{-11}$–$2.6 \times 10^{-9}$ mol/l. This implies that only secondary phases with the solubility values lower than these could precipitate out of solution. In terms of uranium based secondary phases, only coffinite (USiO$_4$) and crystalline UO$_2$\cite{28} meet the criterion. However, coffinite formation requires elevated temperature ($180^\circ$C) and high excess of Si(aq) ($\sim 10^{-2}$ mol/l)\cite{19}. Hence, coffinite formation is unlikely. As was noted by Neck and Kim\cite{13}, tetravalent actinide ions have a strong tendency towards colloid formation. Hence, formation of colloidal UO$_2$ cannot be excluded, but the formed colloids have to be crystalline to meet the solubility requirement for precipitation. Batuk\cite{29} performed dissolution of uranium dioxide samples in solution simulating a groundwater environment under air atmosphere at temperatures of 70 and 150 $^\circ$C and observed formation of nano-size crystalline UO$_2$ particles on the surface of the samples and in the solution. It was suggested that these colloidal crystalline UO$_2$ particles covered the surface of the uranium dioxide samples and inhibited further oxidation. Unfortunately, no explanation was given as to why these colloidal UO$_2$ particles did not oxidise. In addition, low solubility deposits containing Ca and Si could form on the surface of the films and inhibited further dissolution\cite{3}. Calcium was detected in the sampled solutions by the ICP-MS analysis at a concentration of $\sim 100$ ppb and more likely was taken up from the experimental set-up environment as a contaminant. Silicon is also expected to be present as a contaminant at a similar concentration.

The secondary phases observed in Figs. 5 and 6 are more likely formed by substrate elements and other elements taken up in low concentrations from the experimental set-up environment (Pb, Na, Mg, Ca, Zn, Si, etc.), as they were present in solutions in excessive concentrations over uranium. Inclusion of U into these phases is likely to happen, as uranium is often found as a component of minor concentration in many mineral phases\cite{19}. Further work is required to identify these phases.

In this experiment the onset of the secondary phase formation limits the maximum uranium concentration attained in the solution. In addition, precipitation of secondary phases, for example, of crystalline colloidal UO$_2$ and/or Ca-Si low solubility deposits, can block the UO$_2$ surface from further dissolution. Hence, in the experiments reported in this paper, the rate of the UO$_2$ surface coverage by less soluble secondary phases can be considered as the overall dissolution rate-controlling step. However, in the case of a real geological repository, the near field around the spent fuel may
experience more oxidising conditions due to alpha-radiolysis than the far field. Hence, precipitation of secondary phases may shift from taking place mainly on the fuel to the far field with more reducing conditions [22]. Hence, under this real scenario the effect of the secondary phases on the overall dissolution process may be reduced.

5. Conclusions

This work focused on the relative dissolution behaviour of irradiated and unirradiated UO2 thin film samples under specific controlled conditions. The unirradiated samples showed consistently higher measured U concentration values than the corresponding irradiated samples. This observation is consistent with the case of real spent nuclear fuel dissolution, when it is was observed that the fuel samples taken from the outer part of the irradiated pellet (higher burn-up) showed lower dissolution rate than the fuel samples taken from the central part of the pellet (lower burnup) [31], and with the work by Casella et al. [27] and Razdan and Shoesmith [8] on the oxidative dissolution of doped UO2. It was suggested that the irradiation-induced chemical mixing with the substrate elements, observed by the EDX and XPS analyses [56,56], had a stabilising effect on the UO2 matrix and increased UO2 dissolution resistance.

Samples with expected single domain of UO2 crystal growth showed lower measured U concentration values than samples with multiple domains of UO2 crystal growth. From the observed trend in the measured uranium concentration values for the irradiated samples it was not possible to comment on the effect of the initial crystal growth domain on post-irradiation dissolution.

Tub and grain shape secondary phases were observed on the surface of the unirradiated samples and further work is required for their characterisation.

Supporting data

Supporting data will be available in A.J. Popel's PhD thesis (University of Cambridge) published online.

Acknowledgments

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