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Dynamics of two laterally coupled semiconductor lasers: strong- and weak-coupling theory

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The stability and nonlinear dynamics of two semiconductor lasers coupled side-to-side via evanescent waves is investigated by using three different models. In the composite-cavity model, the coupling between the lasers is accurately taken into account by calculating electric field profiles (composite-cavity modes) of the whole coupled-laser system. A bifurcation analysis of the composite-cavity model uncovers how different types of dynamics, including stationary phase-locking, periodic, quasiperiodic, and chaotic intensity oscillations, are organised. In the individual-laser model, the coupling between individual lasers is introduced phenomenologically with ad hoc coupling terms. Comparison with the composite-cavity model reveals drastic differences in the dynamics. To identify the causes of these differences, we derive a coupled-laser model with coupling terms which are consistent with the solution of the wave equation and the relevant boundary conditions. This coupled-laser model reproduces the dynamics of the composite-cavity model under weak coupling conditions.

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I. INTRODUCTION

Understanding the dynamical complexity of laser systems has been a focus of numerous studies since the first realization of lasers. Even very early studies developed the idea of coupling a laser either to the environment in general or, more specifically, to another laser; see Refs. [1–3]. Recent developments make it feasible to manufacture sophisticated (semiconductor) laser devices with many different active and passive sections. From the application point of view, there is a strong desire to employ coupled laser structures, for example, as high-power laser sources or as high-frequency optical clocks [4, 5]. From the fundamental point of view, coupled lasers became an attractive choice for the study of synchronization properties of coupled oscillators more generally; see, for example, Refs. [6–11]. This paper focuses on three selected models to provide further understanding of the key model components and the resulting instabilities in coupled-laser systems.

Different models of coupled-laser systems emerged from different concepts of obtaining (approximate) solutions to the wave equation governing the spatio-temporal dynamics of the laser field. They include low-dimensional rate equations, which are ordinary differential equations [12–15], rate equations with time-delayed coupling [16–18], composite-cavity models [4, 21–23], supermode models [20], and partial differential equation models, such as traveling-wave models [19, 24–26]. Because of their simplicity, low-dimensional rate equation models are an attractive choice for the analysis of the stability and nonlinear dynamical behaviour with tools from bifurcation theory. However, these models neglect coupling effects in the space dependence of the electric field and are unsuitable for describing strongly coupled lasers. Partial differential equation models, on the other hand, are potentially very accurate but, due to their infinite-dimensional nature, they do not lend themselves easily to (comprehensive and global) stability analysis. The composite-cavity models strike a good compromise between accuracy and suitability for stability analysis. Physically, a composite-cavity model takes into account the space dependence of the electric field and is, hence, valid for arbitrary coupling strengths. Mathematically, a composite-cavity model consists of a set of ordinary differential equations governing the time evolution of the complex composite-mode amplitudes, in conjunction with a set of algebraic constraints that determine the spatial mode profiles. Most importantly, the stability and dynamics of this type of model can still be analysed with numerical continuation techniques.

Although current research moves towards complicated laser structures with various coupling conditions, such as two-dimensional arrays [27–30] and photonic crystal laser arrays [31, 32], there are important aspects of modelling and nonlinear dynamics of two-laser systems that have not been fully understood. Here, we address two specific questions to provide a better understanding of two-laser systems. Our results are also important for the study of nonlinear dynamics of multi-laser systems.

The first question addresses the key aspects of nonlinear dynamics in two side-to-side coupled lasers. Specifically, we adapt the composite-cavity model devised in Refs. [21, 33] to describe a concrete laser device consisting of two side-by-side coupled semiconductor lasers. We provide a comprehensive bifurcation analysis of stationary phase-locking, periodic, quasiperiodic, and chaotic intensity oscillations in this model. Bifurcation diagrams
for representative values of the linewidth-enhancement factor are presented in the plane of the distance between the lasers (which accounts for the coupling strength) and the laser-width difference (which introduces frequency detuning between the lasers). In particular, we identify interesting differences in dynamical behaviour under strong and weak coupling conditions. The bifurcation diagrams serve as a benchmark, against which simpler models can be compared. Furthermore, they provide a valuable link between the specific configuration parameters of a real laser device and the dimensionless coupling parameters found in the simpler models. The results obtained for two side-to-side coupled lasers are then compared with a similar study of the composite-cavity model for two face-to-face coupled lasers [23, 34, 35].

Secondly, we consider whether there is a simple rate equation model that can reproduce the dynamics of the more accurate composite-cavity model, at least when the coupling is not too strong. This question becomes relevant in studying general properties of multi-laser arrays where simple yet adequate models are strongly desired. We first analyze a widely-used rate equation model [12, 36–43], which we refer to as an individual-laser model, and uncover dynamics that is significantly different from those found in the composite-cavity model. Rather surprisingly, in the individual-laser model the laser’s intensity diverges off to infinity already at weak coupling conditions. We show that the discrepancies arise because in the individual-laser model the coupling terms which are introduced phenomenologically are not in agreement with the boundary conditions of the coupled-laser system. Specifically, we use an approach similar to that in Ref. [2] to derive simple rate equations for side-to-side coupled lasers, which we refer to as the coupled-laser model. Our calculations give coupling terms that are consistent with the solution of the wave equation and appropriate boundary conditions. Furthermore, they reproduce the complicated dynamical behavior of the more accurate composite cavity model, provided the coupling is not too strong.

This paper is structured as follows. Section II describes the geometry of the side-to-side coupled-laser device. In Sec. III we present the composite-cavity model of this coupled-laser device. Spatial composite-cavity mode profiles are calculated as solutions to the inhomogeneous wave equation in Sec. III A. Temporal dynamics of the composite-cavity modes are discussed in Sec. III B with two-dimensional bifurcation diagrams in the plane of the half-distance between the lasers and the laser-width difference. In Sec. IV we consider simpler rate equation models of coupled lasers. After showing significant differences in the dynamics of the individual-laser model and the composite-cavity model, we derive a coupled-laser model that takes into account the proper boundary conditions of the coupled-laser system. We summarise our results in Sec. V. An appendix contains details about the scaling of the model equations and an alternative representation in polar-coordinates for convenience.

II. TWIN-STRIPE LASER

While the focus of this paper is on the robust aspects of coupled-laser dynamics that do not depend on details of the laser design, composite-cavity theory requires an example of a specific laser configuration. Therefore, we choose to model a laser device that is known as a twin-stripe laser: it is depicted in Fig. 1. The two active regions A and B are oriented along the longitudinal z-direction in which the laser beam propagates. They are coupled via the evanescent field in the lateral x-direction. Each stripe has refractive index \( n_A \) and \( n_B \), respectively. The stripes have widths \( w_A \) and \( w_B \) in the x-direction, and they are at distance \( d \) from the origin of the x-direction, which is chosen in the middle between the two stripes for convenience. The passive region with refractive index \( n_1 < n_A, n_B \) in between the stripes is of width \( 2d \), and we refer to \( d \) as the half-distance between the stripes. Furthermore, each stripe has thickness \( h \) and light is confined in the y-direction by passive layers of semiconductor material with refractive index \( n_3 < n_1, n_2, n_A, n_B \). For calculations, we use the realistic values \( n_A = n_B = 3.61 \), \( n_1 = n_2 = 3.6 \), \( w_A \approx w_B \approx 4 \mu m \), \( 0 \mu m < d < 4 \mu m \), and \( h = 0.05 \mu m \). The twin stripe laser has attracted considerable attention during the past years, as a device in which to study fundamental aspects of coupled nonlinear oscillators, but also for possible technological applications [44–53].

III. STRONG-COUPLING THEORY

In semiclassical laser theory, spatio-temporal dynamics of the laser field is described by the wave equation

\[
- \nabla^2 \mathcal{E}(\vec{r},t) + \mu_0 \frac{\partial \mathcal{E}(\vec{r},t)}{\partial t} + \mu_0 \varepsilon(\vec{r}) \frac{\partial^2 \mathcal{E}(\vec{r},t)}{\partial t^2} = - \mu_0 \frac{\partial^2 \mathcal{P}(\vec{r},t)}{\partial t^2},
\]

where \( \mathcal{E}(\vec{r},t) \) is the electric field in the laser and \( \mathcal{P}(\vec{r},t) \) is the macroscopic polarization. The macroscopic polarization arises from microscopic dipoles induced by the assumed electric field according to the laws of quantum
mechanics. Also, it acts as a source in Eq. (1) giving rise to the reaction electric field. Equation (1) is combined with a suitable quantum mechanical description of the active medium, under the assumption that the assumed electric field inducing polarization equals the reaction field. Such a formalism gives a self-consistent description of the spatio-temporal laser dynamics; see Ref [54, p.98].

The inhomogenous wave equation (1) is solved by expanding the electric field in terms of solutions to the homogeneous wave equation, that is, Eq. (1) with \( \mathcal{P} (\vec{r}, t) = 0 \). These solutions are denoted \( U_a (\vec{r}) \), where \( a \) refers to the index-triple \( a = (j, l, m) \) for the three spatial dimensions. We write,

\[
E (\vec{r}, t) = \frac{1}{2} \sum_a |E_a (t)| e^{-i (\nu_a t + \Phi_a (t))} U_a (\vec{r}) + c.c. ,
\]

\[
\mathcal{P} (\vec{r}, t) = \frac{1}{2} \sum_a P_a (t) e^{-i (\nu_a t + \Phi_a (t))} U_a (\vec{r}) + c.c. ,
\]

where \( |E_a (t)| \) is the slowly varying amplitude of mode \( a \), \( \Phi_a (t) \) is the slowly varying phase, \( \nu_a \) is some conveniently chosen reference frequency, and c.c. stands for the complex conjugate. In complex form the slowly varying field reads

\[
E_a (t) = |E_a (t)| \exp [-i \Phi_a (t)].
\]

In the composite-cavity mode approach, when solving the homogeneous wave equation (or the Helmholtz equation)

\[
\left[ \nabla^2 + n^2 (\vec{r}) \frac{\Omega_a^2}{c^2} \right] U_a (\vec{r}) = 0 ,
\]

one uses the refractive index \( n (\vec{r}) \) which describes spatial variations of the entire multi-laser structure [22]. The resulting \( U_a (\vec{r}) \), which form the basis of the modal decomposition in Eq. (2), are the eigemodes of the entire coupled-laser system and, hence, are called the composite-cavity modes. It can be shown that all solutions \( U_a (\vec{r}) \) of the Helmholtz equation (3) satisfy the orthonormality relation

\[
\int_{-\infty}^{\infty} d\vec{r} n^2 (\vec{r}) U_a (\vec{r}) U_a' (\vec{r}) = \mathcal{N} \delta_{aa'} ,
\]

where \( \delta_{aa'} \) is the Kronecker \( \delta \). We choose the normalisation constant

\[
\mathcal{N} = n_b^2 (d_0 + w_0) h L ,
\]

with \( n_b = 3.6 \), \( d_0 = 2 \mu m \) and \( w_0 = 4 \mu m \).

Inserting the modal expansion Eqs. (2) into the wave equation (1), using Eq. (4) and slowly-varying amplitude and phase approximation [neglecting terms containing \( \dot{E}_a (t), \dot{\Phi}_a (t), \dot{P}_a (t), \dot{E}_a (t) \dot{\Phi}_a (t), \sigma E_a (t), \sigma \Phi_a (t), \Phi_a (t) P_a (t), \Phi_a (t) \dot{P}_a (t), \dot{P}_a (t) \)], and assuming \( \Omega_a^2 = \left[ \nu_a + i \dot{\Phi}_a (t) \right]^2 \approx 2 \nu_a \left[ \Omega_a - \nu_a - \dot{\Phi}_a (t) \right] \) (see Refs. [54, p.100] or [55]) results in the so-called self-consistency equations. They form a set of ordinary differential equations for the slowly-varying amplitude and phase of the composite-cavity mode \( a \):

\[
\frac{d |E_a (t)|}{dt} = - \frac{\sigma}{2 c_0} |E_a (t)| - \sum_{a'} \frac{\nu_{a'}}{2 c_0 n_b^2} \text{Im} \left[ P_{a'} (t) \right] \Delta_{aa'} ,
\]

\[
\frac{d \Phi_a (t)}{dt} = (\Omega_a - \nu_a - \sum_{a'} \frac{\nu_{a'}}{2 c_0 n_b^2} \text{Re} \left[ P_{a'} (t) \right] \Delta_{aa'} ,
\]

where \( \Delta_{aa'} \) are the modal integrals

\[
\Delta_{aa'} = \frac{n_b^2}{\mathcal{N}} \int_{-\infty}^{\infty} d\vec{r} U_a (\vec{r}) U_{a'} (\vec{r}) .
\]

The coupled laser geometry determines the composite-cavity mode frequencies \( \Omega_a \) and the number of polarisation modes coupled to a single mode of the electric field. For many laser structures such as the one considered here one can approximate

\[
\Delta_{aa'} \approx \delta_{aa'} ,
\]

as we will show in Fig. 3. Then, each mode of the electric field is coupled to just one mode of polarization and the self-consistency Eqs. (5) and (6) simplify to the commonly known form (see Ref. [54, p.100]):

\[
\frac{d |E_a (t)|}{dt} = - \frac{\sigma}{2 c_0} |E_a (t)| - \frac{\nu_a}{2 c_0} \text{Im} \left[ P_a (t) \right] ,
\]

\[
\frac{d \Phi_a (t)}{dt} = (\Omega_a - \nu_a - \frac{\nu_a}{2 c_0} \text{Re} \left[ P_a (t) \right] .
\]

In order to determine the temporal dynamics of the optical field described by Eqs. (9)–(10) we need to specify an appropriate model for the response of the active medium to a multi-mode electric field. Specifically, we assume the spatial distribution of carrier density:

\[
D (\vec{r}, t) = \begin{cases} N_{A,B} (t) & \text{inside stripe A,B} \\ 0 & \text{elsewhere} . \end{cases}
\]

For the given carrier density distribution, the time evolution of \( \mathcal{P} (\vec{r}, t) \) is derived from quantum mechanics [56]. In semiconductor lasers (which are class-B lasers), the active medium polarization decays much faster than the electric field and the carrier density. Therefore, one can obtain an algebraic equation for each polarisation mode

\[
P_a (t) = \frac{2 c_0 n_b^2}{\nu_a} \sum_{a'} \left( i \frac{\nu_{a'}}{n_b} - \frac{\nu_a}{n_b} \delta_{aa'} \right) E_{a'} (t) .
\]

Here, the \( g_{aa'} \) and \( \delta_{aa'} \) are the modal gain and carrier-induced refractive index change, respectively, that are associated with the polarization mode \( a \). From the spatial dependence of the carrier density \( D (\vec{r}, t) \) in Eq. (11) one
can see that each polarisation mode, or \( g_{aa'} \) and \( \delta n_{aa'} \), has its source in two active regions [23] so that

\[
g_{aa'} = g_{aa'}^A + g_{aa'}^B = g^A(N_A)K_{aa'}^A + g^B(N_B)K_{aa'}^B, \tag{13}
\]

\[
\delta n_{aa'} = \delta n_{aa'}^A + \delta n_{aa'}^B = \delta n_A(N_A)K_{aa'}^A + \delta n_B(N_B)K_{aa'}^B. \tag{14}
\]

While the local gain \( g^{A, B} \) and index change \( \delta n^{A, B} \) reflect the local properties of the active media, the spatial mode integrals taken over the active region \( A, B \)

\[
K_{aa'}^{A, B} = \frac{\int_{A, B} d\vec{r} n^2(\vec{r})U_{a}(\vec{r})U_{a'}(\vec{r})}{N} \tag{15}
\]

depend on the geometry of the coupled-laser system. Specifically, the diagonal terms describe the overlap of the composite-cavity mode with the active region and the off-diagonal terms describe the coupling between different composite-cavity modes.

For semiconductor lasers, \( g \) and \( \delta n \) can be approximated by

\[
g^{A, B}(N_{A, B}) = g_{thr} + \xi(N_{A, B} - N_{thr}), \tag{16}
\]

\[
\delta n^{A, B}(N_{A, B}) = -\frac{c}{\nu \alpha} N_{A, B},
\]

where we neglect their spectral dependence and assume that the threshold gain \( g_{thr} \), threshold carrier density \( N_{thr} \), the differential gain coefficient \( \xi \), and the linewidth enhancement factor \( \alpha \) are identical for both lasers. Formulas for \( g_{thr} \) and \( N_{thr} \) are given in Appendix A.

Finally, the carrier density within each laser evolves as

\[
\frac{dN_{A, B}(t)}{dt} = \Lambda_{A, B} - \gamma_N N_{A, B}(t) - \sum_{a, a'} \frac{c\alpha_n g_{aa'}^{A, B}}{\hbar \nu_n} |E_a(t)||E_{a'}(t)| \cos(\Phi_{aa'}) \tag{17}
\]

where \( \Lambda_{A, B} \) is the pump rate in laser \( A, B \), \( \gamma_N \) is the carrier decay rate, and \( \Phi_{aa'} = \Phi_a - \Phi_{a'} \). See for example Refs. [23, 35].

In the composite-cavity approach, solutions to the space- and time-dependent parts of Eq (1) are separated in the following way. The first step requires solving the spatial mode problem Eq. (3) for the given coupled-laser geometry, for example face-to-face (see Refs. [23, 34, 35]) or side-by-side (see Refs. [33, 57]) coupling. The second step combines the quantum mechanical description of the active medium and the calculated composite-cavity modes to derive the macroscopic polarization and carrier density equations. Finally, by requiring self-consistency, the dynamics of the laser is determined by Eqs. (5)–(6) and (17) which are coupled to the spatial-mode problem Eq. (3) via the modal integrals Eq. (15).

\[\text{FIG. 2: The } x\text{-dependence of the two composite-cavity modes considered; the stripe-width difference is } \Delta d = 0.05 \mu \text{m and from (a) to (c) the half-distance } d \text{ is } 0 \mu \text{m, } 1 \mu \text{m, and } 3 \mu \text{m.}\]

A. Passive Composite-Cavity Modes

The composite-cavity modes are obtained from the Helmholtz equation (3) and the appropriate boundary conditions. By assuming that a composite-cavity mode can be factorized as

\[U(\vec{r}) = X(x)Y(y)Z(z),\]

Eq. (3) is separated into three different equations for the \( x-, y-, \) and \( z\)-direction. Henceforth, we assume standing wave solution in the \( z\)-direction and focus on the \( x\)-direction only [33, pp. 270]:

\[
\left[\frac{\partial^2 X}{\partial x^2} + n^2(x)k_z^2 - k_x^2 \right] X(x) = 0. \tag{18}
\]

Here, \( k_z = 5 \pi \times 10^6 \text{ m}^{-1} \) is the \( z\)-component of the total wavevector of magnitude \( k = \sqrt{k_x^2 + k_y^2 + k_z^2} \) and \( n(x) \) reflects the refractive index variation in the \( x\)-direction; see Fig. 1. Furthermore, the electric field and its first
derivative have to be continuous at each boundary:

\[
\begin{align*}
X(-d_A^+) - X(-d_A^-) &= 0, \\
\frac{d}{dx}X(-d_A^+) - \frac{d}{dx}X(-d_A^-) &= 0, \\
X(-d^-) - X(d^-) &= 0, \\
\frac{d}{dx}X(d^-) - \frac{d}{dx}X(d^-) &= 0, \\
\frac{d}{dx}X(d^-) - \frac{d}{dx}X(d^+) &= 0, \\
X(d^+) - X(d^+) &= 0, \\
\frac{d}{dx}X(d^+) - \frac{d}{dx}X(d^+) &= 0, \\
\frac{d}{dx}X(d^+) - \frac{d}{dx}X(d^+) &= 0, \\
\frac{d}{dx}X(d^+) - \frac{d}{dx}X(d^+) &= 0,
\end{align*}
\]

(19)

where \(d_{A,B} = d + w_{A,B}\). We seek solutions to the boundary value problem Eqs. (18)–(19) in the form

\[
X(x) = \begin{cases}
G \exp \left[ p_2(x + d + w_A) \right] & \text{if } x \leq -d - w_A, \\
A \sin \left[ p_A(x + d_A) \right] + B \cos \left[ p_A(x + d_A) \right] & \text{if } -d - w_A < x \leq -d, \\
C \exp \left[ -p_1(x + d) \right] + D \exp \left[ p_1(x - d) \right] & \text{if } -d < x \leq d, \\
E \sin \left[ p_B(x - d) \right] + F \cos \left[ p_B(x - d) \right] & \text{if } d < x \leq d + w_B, \\
H \exp \left[ -p_1(x - d_B) \right] & \text{if } d + w_B \leq x.
\end{cases}
\]

(20)

By inserting Eq. (20) into Eq. (18) we obtain equations for the propagation constants \(p_1, p_2, p_A, p_B\):

\[
\begin{align*}
p_2^2 + n_2^2 k^2 - k_s^2 &= 0, \\
-p_A^2 + n_A^2 k^2 - k_s^2 &= 0, \\
p_1^2 + n_1^2 k^2 - k_s^2 &= 0, \\
-p_B^2 + n_B^2 k^2 - k_s^2 &= 0.
\end{align*}
\]

(21)

The composite-cavity mode amplitudes \(A\)–\(G\) are obtained successively from the continuity conditions at the boundaries Eq. (19) as:

\[
\begin{align*}
G &= \sqrt{N}, \\
A &= \frac{p_2}{p_A} G, \\
B &= G, \\
C &= - \frac{p_A}{2p_1} \left[ A \cos (w_A p_A) - B \sin (w_A p_A) \right] \\
&\quad - \frac{1}{2} \left[ A \sin (w_A p_A) - B \cos (w_A p_A) \right], \\
D &= \frac{1}{2} e^{2dp_1} \left\{ \left[ A - \frac{p_A}{p_1} B \right] \sin (w_A p_A) \\
&\quad - \left[ \frac{p_A}{p_1} A + B \right] \cos (w_A p_A) \right\}, \\
E &= \frac{p_1}{p_B} D - C e^{-2dp_1}, \\
F &= C e^{-2dp_1} + D, \\
H &= - \frac{p_B}{p_2} \left[ E \sin (w_B p_B) + F \cos (w_B p_B) \right].
\end{align*}
\]

(22)

Finally, the composite-mode frequencies \(\Omega_j = ck_j\) are obtained from the positive solutions \(k_j\) of the transcendental equation

\[
\begin{align*}
F \left[ \frac{p_B}{p_2} \sin (p_B w_B) - \cos (p_B w_B) \right] &= 0, \\
E \left[ \frac{p_B}{p_2} \cos (p_B w_B) - \sin (p_B w_B) \right] &= 0.
\end{align*}
\]

(23)

for the propagation constants.
FIG. 4: (Color online) The magnitude of the wave vectors $k_1$ and $k_2$ (a) and the frequency detuning $\Delta \Omega = c(k_2 - k_1)$ (b) as a function of the half-distance $d$ and the stripe-width difference $\Delta w$.

FIG. 5: (Color online) The modal gain coefficient $K_{a2}^A$ (a) and the composite-mode coupling coefficient $K_{12}^A$ (b) as a function of the half-distance $d$ and the stripe-width difference $\Delta w$.

Henceforth, we consider just two lateral composite-cavity modes $j = 1, 2$ and assume single-mode operation in the $y$- and $z$-direction ($l = 1, m = 1$). Consequently, the three dimensional integral Eq. (15) simplifies to

$$K_{aa'}^{A,B} = K_{jj'}^{A,B} \Gamma,$$

(24)

where

$$K_{jj'}^{A,B} = \frac{n_{A,B}^2 \int_A^B dx \int_2 1 \left( x \right) X_j'(x) X_{j'}(x)}{2n_{A,B}^2 \left( d_0 + \Delta w \right)}.$$

(25)

The confinement factor $\Gamma$ describes the overlap between the composite-cavity modes and active media in the $y$-

and $z$-direction

$$\Gamma = \frac{\int_0^L dy Y_1^2(y)}{k \int_0^L dz Z_1^2(z)} \int_0^L dz \frac{\left( y \right)}{(L/2)}.$$

(26)

Figure 2 shows $X_1(x)$ and $X_2(x)$ for a fixed stripe-width difference $\Delta w = w_B - w_A$ and three values of the half-distance $d$. The black profile represents composite mode 1 with the higher frequency $\Omega_1$, and the grey profile mode 2 with the lower frequency $\Omega_2$. For $d = 0$, the two composite-cavity modes become simply the two lowest order modes of a single cavity of a combined width $w_A + w_B$ [Fig. 2(a)]. Since $w_A \neq w_B$, the amplitude of
each composite-cavity mode in the different sections varies with the half-distance $d$. In particular, for $w_A < w_B$ and increasing $d$, the amplitude of composite mode 1 in stripe A becomes smaller than in stripe B, and vice versa for mode 2 [Fig. 2(b)]. This difference increases with $d$ as is demonstrated in Fig. 2(c).

Under the assumption of single-mode operation in the y- and z-direction, $X_1(x)$ and $X_2(x)$ determine the dependence of $\Delta a, a'$ on the coupling conditions. Specifically, Fig. 3 shows the off-diagonal element $\Delta_{1,2}$ as a function of the half-distance $2d$ and the stripe-width difference $\Delta w$. Indeed, $\Delta a \neq a' \lesssim 10^{-5}$ is negligible compared to $\Delta a \approx 1$ which justifies approximation (6) used in deriving the self-consistency equations (9)–(10) for our side-by-side coupled lasers. The dependence of the propagation constants $k_1$ and $k_2$ and the composite-mode frequency detuning $\Delta \Omega = c(k_2 - k_1)$ on $d$ and $\Delta w$ is plotted in Fig. 4. For large $d$ (weak coupling), the frequency detuning $\Delta \Omega$ is a linear function of $\Delta w$ and does not depend on $d$. However, for small $d$ (strong coupling) the frequency detuning $\Delta \Omega$ becomes a strongly non-linear function of $d$.

The self-consistency Eqs. (9)–(10) for the time evolution of the composite-cavity modes depend on the half-distance $d$ and the stripe-width difference $\Delta w$ implicitly, via the modal overlap integrals Eq. (24). Specifically, $K_{\alpha, \beta}^{A,B}$ and $K_{\alpha \neq \beta'}^{A,B}$ are the modal gain and index-change coefficients and $K_{\alpha \beta}^{A,B}$ are the composite-mode coupling coefficients in stripe A, B. Figure 5 shows the dependence of $K_{22}^A$ on $d$ and $\Delta w$. For large $d$ (weak coupling) the two composite-cavity modes approximate the modes of uncoupled lasers. For positive $\Delta w > 0$, $X_2(x)$ is almost completely located in laser A and therefore $K_{22}^A$ is close to one. $K_{22}^A$ is slightly less than one because $X_2(x)$ has non-zero amplitude in the passive regions.) For negative $\Delta w < 0$, $X_2(x)$ is almost completely located in laser B and therefore $K_{22}^A$ is zero. At $\Delta w \approx 0$, there is a sharp change in the amplitude of each composite-cavity mode in the given laser. For small $d$ (strong coupling) each composite-cavity mode has comparable amplitudes in both lasers. These amplitudes remain almost constant $K_{22}^A \approx 0.5$ over a wide range of $\Delta w$. The plot for $K_{22}^B$ is very similar after $\Delta w$ is replaced with $-\Delta w$. Furthermore, a similar dependence is observed for $K_{11}^A$ and $K_{11}^B$ after $\Delta w$ is replaced with $-\Delta w$. Figure 5(b) shows the dependence of $K_{21}^A$ on $d$ and $\Delta w$. For large $d$ the coupling $K_{21}^A$ is appreciable in just a small interval around $\Delta w \approx 0$. It drops to zero abruptly as $|\Delta w|$ increases. This is again due to the fact that for large $d$ and $\Delta w \neq 0$ different composite modes are predominantly located in different lasers. For small $d$, the amplitudes of both composite-cavity modes in each stripe are comparable and $K_{21}^A$ remains appreciably non-zero over a wide range of $\Delta w$.

B. Dynamics of the composite-cavity model

Using Eqs. (9), (10), (12), (16), and (17), and recalling time and variables (see App. A), we arrive at the composite-cavity model,

$$\frac{d\tilde{E}_j}{dt} = -i\tilde{\Omega}_j - \tilde{\nu}_j \tilde{E}_j - \gamma \sum_j \left\{ \sum_s K^s_{jj'} \right\} \tilde{E}_{j'} \times \left[ (1 + \beta \tilde{N}_s) - i\alpha \beta (1 + \tilde{N}_s) \right] \tilde{E}_{j'},$$

$$\frac{d\tilde{N}_j}{dt} = \tilde{\Lambda} - (\tilde{N}_s + 1) - \sum_{j,j'} K^s_{jj'} (1 + \beta \tilde{N}_s) \text{Re}[\tilde{E}_j \tilde{E}_{j'}^*].$$

It governs the time evolution of the normalised electric field $\tilde{E}_j(t)$ associated with each composite-cavity mode and the normalised carrier density $\tilde{N}_s(t)$ in each laser. Here the index $j$ refers to the composite mode 1 and 2, whereas $s$ refers to the active region $A$ and $B$, respectively; the star denotes complex conjugation. In the remainder of this section we again drop the tildes for the rescaled quantities.

The simplest non-trivial solution to the composite-cavity model Eq. (27) is continuous-wave (CW) solution, where both composite-cavity modes have constant amplitudes $|E_{1,2}^0|$ and are phase-locked to common frequency $\omega_0^0$:

$$E_1(t) = |E_{1,2}^0| e^{i\omega_0^0 t}, \quad E_2(t) = |E_{1,2}^0| e^{i(\omega_0^0 t + \varphi)}$$

$$N_A(t) = N_{1,2}^0, \quad N_B(t) = N_{1,2}^0.$$  

Also, the carrier density $N_{1,2}^0$ in each laser is constant.

In the composite-cavity model, information about laser behaviour needs to be extracted from the composite-cavity mode calculations. The total optical field inside laser $A$ and $B$ arises from the combination of both composite-cavity modes. Here, we concentrate on the field in the center of each laser:

$$E_A(t) = X_1(d + \frac{w_A}{2})E_1(t) + X_2(d + \frac{w_A}{2})E_2(t),$$

$$E_B(t) = X_1(-d - \frac{w_B}{2})E_1(t) + X_2(-d - \frac{w_B}{2})E_2(t).$$

Hence, phase locking of the two lasers to common frequency can be achieved in two different ways: via phase locking of the composite-cavity modes, or when just one composite-cavity mode has a non-negligible amplitude [Eq. (28)].

To get a first impression of the possible dynamics of the composite cavity model, including different mechanisms leading to phase-locking or dynamical instabilities, we plot in Fig. 6 bifurcation diagrams as a function of the half-distance $d$ for $\Delta w = 0.02$ and three different values of $\alpha$. The bifurcation diagrams have been calculated by numerical time integration of Eqs. (27). Note
FIG. 6: One-parameter bifurcation diagrams of the composite-cavity model, shown as extrema of the intensity in composite mode 1, mode 2, and laser stripe A; throughout $\Delta w = 0.02$ and from rows (a) to (c) $\alpha$ has the values 0, 0.4 and 2.0.

that this means that the spatial mode problem outlined in Section III A has to be solved for each combination of the coupling parameters $d$ and $\Delta w$ to determine the modal frequencies $\Omega_j$ as well as the gain and coupling coefficients $K_{ss}^{ij}$. Figure 6 shows the local extrema of the modal intensities $I_1(t) = |E_1(t)|^2$ and $I_2(t) = |E_2(t)|^2$, as well as the resulting total intensity $I_A(t) = |E_A(t)|^2$ in laser A. For $\alpha = 0$ [Fig. 6(a)] the dynamics is dominated by CW solutions and periodic intensity oscillations; there is only a small interval around $d \approx 2.2$ with complicated dynamics. Specifically, for very small $d$ composite mode 1 is on (has non-zero intensity) but composite mode 2 is off (has negligible intensity): $(I_1; I_2) \approx (\Lambda; 0)$. At around $d \approx 0.1$, composite mode 1 is off and composite mode 2 is on: $(I_1; I_2) \approx (0; \Lambda)$. In both cases the total intensity in laser A is constant. However, the switch over from composite mode 1 to 2 appears as a discontinuity in the total intensity $I_A$ at $d \approx 0.1$. At $d \approx 1.6$ the CW solution bifurcates into intensity oscillations. After a small window with complicated dynamics around $d = 2.2$, the system settles back to periodic intensity oscillations with comparable amplitudes of both composite modes. Already for $\alpha = 0.4$ [Fig. 6(b)] the behaviour is much more complicated. For $d = 0$, the system is settled to the CW solution $(I_1; I_2) \approx (\Lambda; 0)$, then switches to the reverse situation $(I_1; I_2) \approx (0; \Lambda)$ and returns to $(I_1; I_2) \approx (0; \Lambda)$ around $d = 0.5$. Periodic intensity oscillations appear at around $d = 1.5$ from the CW solution $(I_1; I_2) \approx (\Lambda; 0)$. As $d$ is decreased, these oscillations undergo further bifurcations leading to complicated dynamics. For $\alpha = 2.0$ [Fig. 6(c)] the solution with $(I_1; I_2) \approx (0; \Lambda)$ for small $d$ has disappeared. Also, the parameter interval with complicated dynamics has increased. At around $d = 2.8$ there is a window with stable CW solution where both
composite modes have comparable intensities. In this window, the two composite modes are phase-locked, so the total intensity in laser A is constant. Again periodic oscillations can be found for $d > 3$.

Taken together, Fig. 6 identified parameter regions where the coupled-laser device shows constant intensity operation or complicated dynamics. In particular, constant intensity operation is of different dynamical flavor. For weak coupling there is phase-locking of composite-cavity modes with comparable intensities, but for strong coupling there is strong mode competition, so that one mode is dominant and the other mode has negligible intensity. Complicated dynamics arises in the intermediate parameter region.

1. Continuous wave operation

In order to gain better insight into the dynamics of the side-to-side coupled laser system, in particular, mechanisms leading to CW-operation of the composite cavity modes, we now present a comprehensive bifurcation study using numerical continuation [58, 59]. This approach allows us to identify regions of stable CW solutions given by Eq. (28) in the two-dimensional parameter plane of half-distance $d$ and stripe-width difference $\Delta w$. These regions are bounded by bifurcations curves, namely curves of saddle-node bifurcations, where a pair CW solutions is created, and Hopf bifurcations, where a CW solution bifurcates into a periodic intensity oscillation. Figure 7 shows regions of stable CW solutions of the composite-cavity model Eq. (27) and their bifurcations in the $(d, \Delta w)$-plane for different values of the linewidth enhancement factor $\alpha$. The hatching indicates regions with stable CW solution, where the different hatching distinguishes between different stable CW solutions observed in Fig 6. Thick bifurcation curves indicate that the bifurcating solution is stable and thin bifurcation curves indicate that it is unstable.

Figure 7(a) shows the situation for $\alpha = 0$. For large $d$ stable CW solutions arise from saddle-node bifurcations (S) around $\Delta w$ zero. Note that what appears to be a single saddle-node curve are actually two saddle-node curves very close to each other, each giving rise to one stable CW-solution. Hence the cross hatching, which indicates bistability of two stable CW-solutions. For decreasing $d$ one of the CW-solutions undergoes a subcritical Hopf bifurcation. For even smaller $d$ also the other CW-solution looses stability in a subcritical Hopf bifurcation ($d \approx 0.01 \mu m$). A second Hopf bifurcation close by leads to another short interval with stable CW solution for even smaller $d$ (right-inclined hatch). Note that in Fig 6(a) this transition at $d \approx 0.01$ was observed as a discontinuous change in the intensity of laser A. Furthermore, we find points where the boundary of the locking region changes from a saddle-node curve to a Hopf bifurcation. These special codimension-two bifurcation points are called saddle-node Hopf points (SH). In total there are four saddle-node Hopf points, two for each CW-solution. Degenerate Hopf points (DH) indicate points where there is a change on a Hopf bifurcation curve from super- to subcritical Hopf bifurcations.

For $\alpha = 0.32$ in Fig. 7(b) the two previously overlapping saddle-node curves have clearly separated. For small $d$ the CW-solution is still dominated by one composite mode. Depending on the value of $d$ either composite mode 1 or 2 is dominant. Furthermore, in Fig. 7(b), the upper and the lower super-critical Hopf branches approach each other around $(d, \Delta w) = (1.2; 0)$. This indicates that in the three-dimensional $(d, \Delta w, \alpha)$ parameter space, Hopf bifurcations form two-dimensional surfaces with saddle-points. In the $(d; \Delta w)$-plane, a transition through a saddle point in the surface of Hopf bifurcations rearranges the Hopf branches, as can be seen in Fig. 7(c) for $\alpha = 0.4$.

When increasing $\alpha$ from $\alpha = 0.4$ to $\alpha = 1.6$, as shown in Fig. 7(d), the Hopf branches change near the saddle-node Hopf points (SH). Namely, at a particular value of $\alpha$ the Hopf curve has a cusp singularity at SH — a transition that is commonly found in laser systems [35, 60]. (Also, see Ref. [61] for details on the possible different unfoldings of saddle-node Hopf points.) As a consequence the stability regions of the CW solutions become further untangled. Notably, in Fig. 7(d) there is no bistability region anymore and a gap without any stable CW-solution has formed. Specifically, as we go from large towards small $d$ around $\Delta w \approx 0$ we find stable CW-solutions, characterized by phase-locked composite modes. They lose stability in a Hopf bifurcation, but for smaller $d$ we again find stable CW-solutions. Note that there are three different regions bounded by Hopf bifurcations, where only one of the composite-cavity modes has non-negligible amplitude.

As $\alpha$ is increased further, the region with stable CW-solution for small $d$ disappears; see Fig 7(e). Furthermore, two stability regions merge in a saddle point singularity of a two-dimensional Hopf bifurcation surface in the $(d, \Delta w, \alpha)$ parameter space. Eventually, this leads to two regions with stable CW-solutions separated by a gap, as is shown in Fig. 7(f). The stable CW-solution for small $d$ is associated with operation in only one composite mode, namely mode 1, and its region of stability extends to large $\Delta w$. The stable CW-solution for large $d$, on the other hand, is associated with phase-locked operation of both composite modes. It is bounded by a saddle-node curve toward increasing $|\Delta w|$.

2. Beyond continuous wave operation

Figures 6 and 7 already indicate that one must expect more complex and possibly chaotic dynamics of the side-to-side coupled lasers. In order to study the dynamics beyond stable CW-solutions we focus on periodic solutions where $|E_{1,2}|, N_{A,B}$ and $|\Phi_1 - \Phi_2|$ oscillate, and consider their bifurcations. These bifurcations in-
FIG. 7: (Color online) Two-parameter bifurcation diagram in the $(d, \Delta w)$-plane of the composite-cavity model for different values of $\alpha$ as indicated in the individual panels. Shown are curves of saddle-node (S) and Hopf (H) bifurcations; codimension-two saddle-nede Hopf (SH) and degenerate Hopf (DH) bifurcations occur at isolated points; hatched regions indicate stable CW-solution.
include saddle-node of limit-cycle (SL) bifurcations, where a pair of periodic solutions is born, period-doubling (PD) bifurcations, where a periodic solution with twice the period bifurcates from the initial periodic solution, and torus (T) bifurcations, where a second frequency is introduced in the system. Finally, we also find homoclinic (hom) bifurcations, which occur as the result of a rearrangement of stable and unstable manifolds of a saddle CW-solution; physically, the period of the associated intensity oscillation goes to infinity. See, for example, Refs. [61, 62] for more background information on these bifurcations. The different bifurcations of periodic solutions are organised into an overall bifurcation diagram. Importantly, points of codimension-two bifurcation organise the overall structure.

Figure 8 shows the bifurcation diagram of the composite-cavity model Eq. (27) in the \((d, \Delta w)\)-plane with bifurcations of emerging periodic solutions for three different values of \(\alpha\). Here we concentrate on the region of intermediate values of \(d\) near to the codimension-two bifurcation points and do not distinguish between super- and subcritical bifurcations of periodic solutions. Since the bifurcation diagrams are almost symmetrical with respect to the detuning, we will only discuss the upper half where \(\Delta w \geq 0\).

Figure 8(a) shows the situation for \(\alpha = 0\). As was explained earlier, there are two saddle-node curves almost on top of each other. Both change criticality in two saddle-node Hopf (SH) points. From each point SH a curve T of torus bifurcations emerges. The other end point of each torus curve is a \((1:2)\)-resonance point, where the curve T ends on a curve P of period-doubling bifurcations. Furthermore, there is a curve SL of saddle-node of limit cycles bifurcations. It ends for small \(d\) in a degenerate Hopf point DH, where the Hopf bifurcation curve changes its criticality. The other end point B of the curve SL is a point on a curve hom of homoclinic bifurcations, where the periodic solution bifurcating from the curve hom changes its stability. Notice that, overall, the bifurcations associated with periodic solutions are located in a relatively small area of the \((d, \Delta w)\)-plane, well above and below the horizontal axis where \(\Delta w = 0\).

Figure 8(b) shows the bifurcation diagram for \(\alpha = 0.4\). As was already observed previously, the two stable CW-solutions and their bifurcations have untangled. The two previously overlapping saddle-node Hopf points SH are clearly separated. The overall bifurcation structure is now quite complicated. In particular, bifurcations associated with periodic solutions can now be found in a much larger part of the \((d, \Delta w)\)-plane and overlapping with the stability regions of CW-solutions. From each SH point a torus curve T emerges and terminates at a \((1:2)\)-resonance point. One period-doubling curve still forms a closed loop, but a second period-doubling curve has a much more complicated structure and it ends at a point B on the curve of homoclinic bifurcations. Furthermore, there is a curve SL of saddle-node of limit cycle bifurcations, which now connects the two degenerate Hopf...
points on the upper and the lower detuning half-plane.

Finally, for \( \alpha = 2 \) as in Fig. 8(c), the main structural elements, \textit{i.e.}, the codimension-two points, of the bifurcation diagram are preserved. The main differences are due to the cusp transition of the Hopf curve at the SH point at large \( d \) that gives rise to the intermediate region without stable CW-solution. As a consequence the torus curve emerging from this SH point is now located on the other side of the curve S. Note that the two end points of this torus branch, \textit{i.e.}, the SH point and the (1:2)-resonance point, are now very close together. Furthermore, there is a short curve of saddle-node bifurcation of limit cycle (SL) for large \( d \) that connects the two DH points. The main feature for \( \alpha = 2 \) is that the bifurcations associated with periodic solutions have now moved in to the region without stable CW-solution. They cover a large region around the horizontal axis of the \((d, \Delta w)\)-plane, so that they can now be found even for \( \Delta w = 0 \). This agrees with the observation in Fig 6(c) that the locking intervals characterised with stable CW-solutions are separated by a window of complicated, possibly chaotic dynamics.

IV. WEAK-COUPLING THEORY

A composite-cavity model describes the spatio-temporal dynamics of a given laser structure for arbitrary coupling conditions. The previous section discussed the case of a simple two-laser system. In case of (more complicated) two-dimensional laser arrays, constructing orthogonal composite-cavity modes as required by semiclassical laser theory may be a rather difficult or even impractical task. In such a situation one may desire a simpler rate equation model that still reproduces relevant aspects of the dynamics that are found in the more accurate composite-cavity model.

Assuming weak coupling conditions can immensely simplify solution of the wave equation (1) and lead to simple rate equation models which are used extensively in studies of coupled-laser systems. These models describe the interaction of individual lasers rather than modes of the entire coupled-laser structure. Most commonly used is the individual-laser model. As we will show in the next section, the individual-laser model for two side-to-side coupled lasers has bifurcation diagram that is significantly different from the one obtained for the composite-cavity model. The uncovered disagreement motivates derivation of the coupled-laser model in Sec. IV.C, which captures the relevant dynamics of the composite-cavity model, provided the coupling is not too strong.

A. Individual-laser theory

In individual-laser theory the wave equation (1) is solved by assuming that different lasers of the array are completely uncoupled. Specifically, the wave equation for each individual single-mode laser can be written as

\[
\begin{align*}
\frac{d^2\tilde{E}_{A,B}}{dt^2} &= -i (\bar{\Omega}_{A,B} - \nu) \tilde{E}_{A,B} - i\alpha \beta \gamma \tilde{N}_{A,B} \tilde{E}_{A,B} + \kappa \tilde{E}_{A,B}^* + \xi_{A,B} \\
\frac{d\tilde{N}_{A,B}}{dt} &= \tilde{\Lambda} - (\tilde{N}_{A,B} + 1) - (1 + \beta \tilde{N}_{A,B}) |\tilde{E}_{A,B}|^2.
\end{align*}
\]

It governs the time evolution of the normalized complex-valued optical fields \( \tilde{E}_{A,B} \) and normalized real-valued carrier densities \( \tilde{N}_{A,B} \) of laser \( A, B \). In the remainder of this section we again drop the tildes for the rescaled quantities for convenience. Laser \( A \) is coupled to laser \( B \) with coupling strength \( \kappa \). Both lasers are assumed to be the same, meaning that they have the same values of the linewidth enhancement factor \( \alpha \), the dimensionless gain parameter \( \beta \), the ratio of the field and carrier decay rates \( \gamma \), and the pump parameter \( \Lambda \). However, they may have a frequency difference or detuning

\[
\Delta \Omega = \Omega_B - \Omega_A.
\]

For the side-to-side coupled lasers, the coupling arises due to the overlap of the laser electric field profiles (evanescent waves) in the lateral \( x \)-direction. Hence, the coupling parameter \( \kappa \) is assumed to decrease exponentially with the distance between the lasers [12], as

\[
\kappa = \frac{C}{\gamma_N} \exp(-2d\beta_G).
\]
Here, $C$ is the coupling rate in units of inverse second and $p_G$ is the inverse coupling length. For reasons of comparability that will become clear in Sec. IV C, we use the values $C/\gamma_N = 420$ and $p_G = 0.98 \times 10^6 \, \text{m}^{-1}$.

Due to their simplicity, Eqs. (31) have been used extensively in the literature to model the dynamical behaviour of various coupled-laser systems [12, 30, 36–43, 63]. The common assumption in studies using this model is that it is only valid for low values of the coupling parameter $\kappa$, i.e., the coupling is seen as a small perturbation of the solitary laser.

### B. Dynamics of the individual-laser model

The CW solutions of Eqs. (31) have the form

$$
E_A(t) = |E^0| e^{i\omega^0 t}, \quad N_A(t) = N^0, \\
E_B(t) = |E^0| e^{i\omega^0 t + \varphi}, \quad N_B(t) = N^0.
$$

(34)

Notably, the time-independent amplitudes $|E^0|$ and carrier densities $N^0$ in both lasers are identical, even for non-zero detuning, $\Omega_A \neq \Omega_B$. Inserting ansatz (34) into Eqs. (31) gives two CW solutions

$$
|E^0|_\pm = \sqrt{\frac{\Lambda - 1 \pm \frac{\kappa}{\gamma} \sqrt{1 - \left(\frac{\Delta \Omega}{2\kappa}\right)^2}}{1 \pm \frac{\kappa}{\gamma} \sqrt{1 - \left(\frac{\Delta \Omega}{2\kappa}\right)^2}},}
$$

(35)

$$
N^0_\pm \mp \frac{\kappa}{\gamma}\sqrt{1 - \left(\frac{\Delta \Omega}{2\kappa}\right)^2},
$$

(36)

$$
\omega^0_\pm = \Delta \alpha \beta N^0_\pm, \quad m \pi,
$$

(37)

$$
\varphi = \arcsin \left(\frac{\Delta \Omega}{2\kappa}\right) + m \pi.
$$

(38)

of which $(|E^0|_+, N^0_+, \omega^0_+, \varphi)$ is stable and $(|E^0|_-, N^0_-, \omega^0_-, \varphi)$ is unstable. Interestingly, Eq. (35) for the normalised field intensity $|E^0|^2$ of the only stable CW solution has a singularity when

$$
1 = \frac{\kappa}{\gamma} \sqrt{1 - \left(\frac{\Delta \Omega}{2\kappa}\right)^2}.
$$

(39)

Figure 9 shows the bifurcation diagram of the individual-laser model Eqs. (31) in the $(d, \Delta \Omega)$-plane for $\alpha = 2.0$. The two CW solutions are born in the saddle-node bifurcation (S). In the hatched region the stable CW solution exists with finite field amplitude. For parameters above the upper branch of S and below the lower branch of S the lasers are unlocked, meaning that each laser operates at a different frequency and the intensity of the light emitted oscillates periodically. Starting on S and decreasing the half-distance $d$ for fixed $\Delta \Omega$ (hence, increasing the coupling strength) the intensity of the stable CW solution $|E^0|^2$ slowly increases and then diverges off to infinity at the singularity given by Eq. (39), which occurs along the dashed curve in Fig. 9. To the left of the dashed singularity curve the only attractor is a CW solution with infinite intensity. The Hopf bifurcation curve (H) in Fig. 9 indicates a Hopf bifurcation of the unstable CW solution. Fig. 9 shows the situation for $\alpha = 2.0$ only because the diagram does not depend on $\alpha$ (except for the position of the Hopf bifurcation of the unstable CW solution). We remark that for the case of a solid-state laser Eqs. (31) give rise to additional bifurcations and a small region of complicated dynamics [38]. However, for parameter values describing a semiconductor laser as considered here, we found no bifurcations of periodic orbits nor chaos.

Comparison between Sections III B and IV B shows that bifurcations of the composite-cavity model (27) and the individual-laser model (31) are drastically different. In fact, none of the relevant dynamics found in the composite-cavity model (27) including bistability, complicated intensity oscillations, and strong dependence of the locking region on $\alpha$, appears in the individual-laser model (31).
C. Coupled-laser theory

The previous section provides the motivation for developing a simple model that can reproduce the dynamics of the more accurate composite-cavity model, at least for weak coupling. More specifically, we derive simple rate equations for two single-mode lasers coupled side-to-side, where we use the semiclatical theory of coupled lasers developed by Spencer and Lamb in Refs. [1, 2]. A difference with these works is that we apply the general concept of a laser with transmitting interface to our case of lasers that are coupled side-to-side via evanescent fields. Furthermore, the lasers are coupled via a passive section of different refractive index rather than a (infinite) refractive index “bump”. To facilitate the calculations we consider two identical lasers, whose fields are not leaking to the outside world, i.e. \( \mathcal{E}(x, t) = 0 \) for \(|x| \geq (d + w_{A,B})\), and that are coupled due to some field leaking at \( x = \pm d \). The situation is shown in Fig. 10. Under weak coupling conditions, where the electric fields at \( x = \pm d \) are small, one can expand the intracavity fields of lasers \( A \) and \( B \) in terms of the normal modes of a closed cavity, which vanish at \( x = \pm d \) and \( x = \pm (d + w_{A,B}) \).

Although the electric field in each laser has a space-dependent component that vanishes \( x = \pm d \), we still account for the fact that there is a small electric field at \( x = \pm d \) in Maxwell’s equations. Following Ref. [1], the resulting wave equation for the optical fields inside lasers \( A \) and \( B \) can be approximated by

\[
\begin{align*}
n_A^2 k_A^2 \mathcal{E}_A &+ \mu_0 \sigma \mathcal{E}_A + \frac{n_A^2}{c} \mathcal{E}_A = -\mu_0 \frac{p_A}{w_A} \mathcal{E}(-d, t) \\
n_B^2 k_B^2 \mathcal{E}_B &+ \mu_0 \sigma \mathcal{E}_B + \frac{n_B^2}{c} \mathcal{E}_B = -\mu_0 \frac{p_B}{w_B} \mathcal{E}(d, t).
\end{align*}
\]

(40)

In contrast to the individual-laser model (31), the coupling between lasers is taken into account already when solving the wave equation (1). It is represented by the additional source terms in the right-hand side of Eqs. (40), proportional to \( \mathcal{E}(-d, t) \) and \( \mathcal{E}(d, t) \), which reflect the fact that the total field at \( x = \pm d \) is not quite zero [2]. In the side-to-side coupling configuration each coupling term depends on the lateral component \( p_{A,B} \) of the wave vector.

Figure 10(a) shows transversal field profiles of lasers \( A \) and \( B \) as if the lasers were uncoupled. For coupled lasers we need to know the total electric field inside laser \( A \), inside laser \( B \), and inside the passive section between the lasers in order to evaluate \( \mathcal{E}(-d, t) \) and \( \mathcal{E}(d, t) \) in Eqs. (40). The total space- and time-dependent electric field inside the coupled-laser system can be written as

\[
\mathcal{E}(x, t) = \begin{cases} 
E_A(t) \sin[p_A(x + d + w_A)] & \text{if } -d - w_A \leq x \leq -d \\
E_{G1}(t)e^{-\kappa c(x+d)} + E_{G2}(t)e^{\kappa p_G(x-d)} & \text{if } -d \leq x \leq d \\
E_B(t) \sin[p_B(x - d - w_B)] & \text{if } d \leq x \leq d + w_B.
\end{cases}
\]

(41)

FIG. 10: The \( x \)-dependence of the electric fields of each individual laser (a) and the total electric field inside the coupled-laser device (b).

Figure 10(b) depicts its spatial dependence. Specifically, the total field in the passive gap between the two lasers is a superposition of two evanescent fields leaking out of lasers \( A \) and \( B \).

From the boundary conditions for the total electric field \( \mathcal{E}(x, t) \)

\[
\begin{align*}
\mathcal{E}(-d - w_B, t) &= 0, \\
\mathcal{E}(d + w_A, t) &= 0, \\
\mathcal{E}(-d^-, t) - \mathcal{E}(-d^+, t) &= 0, \\
\frac{\partial}{\partial x} \mathcal{E}(-d^-, t) - \frac{\partial}{\partial x} \mathcal{E}(-d^+, t) &= 0, \\
\mathcal{E}(d^-, t) - \mathcal{E}(d^+, t) &= 0, \\
\frac{\partial}{\partial x} \mathcal{E}(d^-, t) - \frac{\partial}{\partial x} \mathcal{E}(d^+, t) &= 0,
\end{align*}
\]

(42)

we obtain the fields at transmitting interfaces as

\[
\begin{align*}
\mathcal{E}(-d, t) &= \frac{E_A(t)}{2} \sin[p_Aw_A] - \frac{p_A}{p_G} \cos[p_Aw_A], \\
\mathcal{E}(d, t) &= -\frac{E_B(t)}{2} \sin[p_Bw_B] - \frac{p_B}{p_G} \cos[p_Bw_B], \\
\mathcal{E}(d, t) &= \frac{E_A(t)}{2} e^{-2pd} \sin[p_Aw_A] - \frac{p_A}{p_G} \cos[p_Aw_A], \\
\mathcal{E}(d, t) &= -\frac{E_B(t)}{2} e^{-2pd} \sin[p_Bw_B] - \frac{p_B}{p_G} \cos[p_Bw_B],
\end{align*}
\]

(43)

and derive the transcendental equation

\[
\begin{align*}
&\sin[p_Bw_B] + \frac{p_B}{p_G} \cos[p_Bw_B] \sin[p_Aw_A] - \frac{p_A}{p_G} \cos[p_Aw_A] \\
&\sin[p_Aw_A] + \frac{p_A}{p_G} \cos[p_Aw_A] \sin[p_Bw_B] - \frac{p_B}{p_G} \cos[p_Bw_B] \\
&\times \exp[-4pd_G].
\end{align*}
\]

(44)

which determines the values of the propagation constants \( p_{A,B} \) and \( p_G \).
Using expressions (43)–(44) for $\mathcal{E}(-d, t)$ and $\mathcal{E}(d, t)$ we rewrite Eqs. (40) in the slowly varying amplitude and phase approximation as

$$
\dot{E}_A + \left[ \frac{\sigma}{2\epsilon_0 A} + i(\Omega_{A} - \nu + C) \right] E_A = \frac{\nu}{2\epsilon_0 n^2_A} P_A + iC \exp[-2dpG] E_B,
$$

$$
\dot{E}_B + \left[ \frac{\sigma}{2\epsilon_0 B} + i(\Omega_{B} - \nu + C) \right] E_B = \frac{\nu}{2\epsilon_0 n^2_B} P_B + iC \exp[-2dpG] E_A,
$$

(46)

where $\Omega_{A,B} = ck_{A,B}$. Furthermore, in derivation of the coupling rate

$$
C = \frac{cp}{2 \mu w n^2 k} \left[ \sin(pw) - \frac{p}{pG} \cos(pw) \right],
$$

(47)

we assumed $w = w_A \approx w_B$, $n_b = n_A \approx n_B$ and $p = p_A \approx p_B$. As can be seen from Eqs. (46) the partially transmitting interface introduces a small shift $C$ in the lasing frequency, and coupling between lasers gives rise to an additional source term $iC \exp[-2dpG]$ on the right-hand side.

In order to calculate the inverse coupling length $pG$ and the coupling rate $C$ for the laser geometry considered in Fig. 10, we need relations between the propagation constants $p, pG$, and the total wave number $k$. With the ansatz Eq. (41) we obtain these relations from Eq. (18) as

$$
-p^2 + n_b^2 k^2 - k^2_z = 0,
$$

$$
p^2G - k^2_z + n_G^2 k^2 = 0,
$$

(48)

with $k_z = 5\pi \times 10^9 m^{-1}$.

Figure 11 shows the dependence of the inverse coupling length $pG$ and normalised coupling rate $C/\gamma_N$ on the half-distance $d$ between the lasers as calculated from Eqs. (45) and (48). Clearly, for sufficiently weak coupling (large half-distance $d$) each of these parameters can be approximated by a constant as is commonly done in the weak-coupling theory with $C/\gamma_N \approx 420$ and $pG \approx 0.98 \times 10^9 m^{-1}$. However, for strong coupling (small $d$), $C$ and $pG$ vary noticeably with $d$.

Using the simplified model (12) for the polarization $P_{A,B}$ of the semiconductor active medium in Eqs. (46) and rescaling time and variables (App. A) one arrives at the coupled-laser model:

$$
\frac{d\tilde{E}_{A,B}}{dt} = -i(\tilde{\Omega}_{A,B} - \tilde{\nu}) \tilde{E}_{A,B} + (1 - i\alpha) \beta \gamma \tilde{N}_{A,B} \tilde{E}_{A,B} + i\sigma \tilde{E}_{B,A},
$$

$$
\frac{d\tilde{N}_{A,B}}{dt} = \tilde{\Lambda} - (\tilde{N}_{A,B} + 1) - (1 + \beta \tilde{N}_{A,B})|\tilde{E}_{A,B}|^2,
$$

(49)

where $\tilde{\Omega}_{A,B} = (\Omega_{A,B} - C)/\gamma_N$ and the coupling parameter

$$
\kappa = \frac{C}{\gamma_N} \exp[-2dp].
$$

(50)

Equations (49) govern the time evolution of the normalized complex-valued electric fields $\tilde{E}_{A,B}(t)$ and the normalized carrier densities $\tilde{N}_{A,B}(t)$ of laser $A, B$. In the remainder of this section we again drop the tildes for the rescaled quantities for convenience. Equations (49) are very similar to the individual-laser model (31) but differ by a factor $i = \exp(i\pi/2)$ in the coupling term. In physical terms, this is merely a $\pi/2$ phase shift in the phase of the coupling field. However, mathematically, the coupled-laser equations are not invariant under such a phase-shift transformation and, hence, the coupled-laser model has different solutions as we will show in the next section. Note that the imaginary unit $i$ also appears in the coupling term derived in Ref. [2] for face-to-face coupled lasers. The coupled-laser model was used, although less extensively than the individual laser model, to study nonlinear dynamics and synchronisation in coupled lasers; see, for example, Refs. [13, 64–70].

**D. Dynamics of the coupled-laser model**

Unlike the individual-laser model (31), the coupled-laser model (49) does not have CW solutions with identical laser amplitudes for $\Delta \Omega = \Omega_A - \Omega_B \neq 0$. Instead, the CW solutions are of the form

$$
E_A(t) = |E_A^0|e^{i\omega_A t} , \quad N_A(t) = N_A^0,
$$

$$
E_B(t) = |E_B^0|e^{i\omega_B t + \phi} , \quad N_B(t) = N_B^0.
$$

(51)

The situation that $|E_A^0| = |E_B^0|$ is a special case that occurs only for $\Delta \Omega = 0$.

A bifurcations analysis of the coupled-laser model (49) is presented in Figs. 12 and 13 for three different, representative values of $\alpha$. The comparison with the bi-
FIG. 12: (Color online). Two-parameter bifurcation diagram in the $(d, \Delta \Omega)$-plane of Eqs. (49) for $\alpha = 0$ (a), $\alpha = 0.4$ (b) and $\alpha = 2$ (b), showing curves of saddle-node (S) and Hopf (H) bifurcations and codimension-two saddle-node Hopf (SH), and degenerate Hopf (DH) points; the hatched regions indicate stable CW-solution.

FIG. 13: (Color online). Two-parameter bifurcation diagram in the $(d, \Delta \Omega)$-plane of Eqs. (49) for $\alpha = 0$ (a), $\alpha = 0.4$ (b) and $\alpha = 2$ (b), also showing curves of period doubling (PD), torus (T), saddle-node (SL) of limit cycles, and homoclinic (hom) bifurcations, and codimension-two saddle-node Hopf (SH), 1:2-resonance (1:2) points; hatched regions indicate stable CW-solution.
fication structure of the composite-cavity model (27) in Figs. 7(a),(c),(f) and 8 shows a very good agreement at weak coupling conditions, namely for \( d > 1.5 \mu m \) where \( \tilde{\rho} \) and \( C \) are approximately constant as shown in Fig. 11. Significant differences occur only at strong coupling conditions for small \( d \). In particular, the individual-laser model (49) fails to reproduce the different locking regions with one stable CW solution found in Fig. 7(a) for the composite-cavity model. Also, the bifurcation diagrams for \( \alpha = 0.4 \) and \( \alpha = 2 \) in Fig. 13(b)–(c) agree well with the results for the composite-cavity model in Fig. 8(b)–(c) for weak coupling. In particular, Eqs. (49) reproduce the gap in the locking region that is filled with bifurcations of periodic solutions and chaotic dynamics. Furthermore, we checked that the bifurcation diagrams in Fig. 13 for the coupled-laser model and Fig. 8 for the composite-cavity model undergo the same qualitative transitions when \( \alpha \) is varied.

The weak-coupling models Eqs. (31) and (49) are quite general: as long as one does not specify the coupling parameter \( \kappa \) these models are independent of the actual coupling geometry, such as the side-to-side or face-to-face configuration. Therefore, it is interesting and straightforward to compare them for different coupling conditions. Clearly, the individual-laser model (31) and coupled-laser model (49) give rise to completely different bifurcation diagrams at weak to moderate coupling conditions. To further check whether these weak-coupling models agree at least in the limit of vanishing coupling, Fig. 14 shows on a doubly logarithmic scale in the \((\kappa, \Delta \Omega)\)-plane the curves of the locking-unlocking transition (as given by the saddle-node bifurcation curves). Note that there are differences even in the limit of vanishing coupling. Whereas the scaling of the locking region is independent of the linewidth enhancement factor \( \alpha \) in the individual-laser model (gray), it shows significant variations with \( \alpha \) in the coupled-laser model (black).

V. CONCLUSIONS

In this paper we considered different modelling approaches for the study of the nonlinear dynamics of semiconductor lasers that are coupled side-to-side. For the case of two laterally coupled lasers we used bifurcation analysis to identify relevant dynamics in the plane of the laser distance (coupling strength) and cavity width difference (detuning) for different values of the linewidth enhancement factor. In this way, we uncovered and explained significant differences in the dynamics obtained from different models that are widely used in the field.

First, we derived a composite-cavity model for the side-to-side coupled laser device. This model properly accounts for the coupling between different lasers by expanding the laser field in terms of eigenfunctions for the whole coupled-laser system and, hence, is valid for arbitrary coupling conditions. A composite-cavity model is quite complicated in that it consists of a set of ordinary differential equations that are subject to additional algebraic constraints (to define the composite-cavity modes). Nevertheless, in simple cases like the one considered here, the composite-cavity model is amenable to bifurcation analysis with numerical continuation. This allowed us to identify key features of the dynamics for any value of the distance between the two stripes, that is, over the entire range of coupling strengths. Under weak to moderate coupling conditions, we found bistability of the (continuous-wave) phase-locked solutions, saddle-node-Hopf bifurcation points giving rise to complicated dynamics, as well as strong dependence of the locking region and instabilities on the linewidth enhancement factor. Under strong coupling conditions, we found single composite-mode operation owing to strong competition of the composite-cavity modes, which become the modes of a single laser of twice the width of the individual lasers when the distance between them approaches zero. The overall qualitative features of the side-to-side coupled laser are quite similar to those of two face-to-face coupled lasers (as also modelled by a composite-cavity model) [23, 35].

Furthermore, we considered simpler rate equation models. Our starting point was the analysis of an individual-laser model, where coupling between individual lasers is introduced phenomenologically. While this model has been widely used in the literature to describe a number of laser systems, we demonstrated that it does not reproduce any relevant aspects of the dynamics found in the composite-cavity model of two laterally coupled lasers. What is more, already for weak coupling conditions we uncovered a singularity in the solution of the individual-laser model, such that the lasers’ intensity become infinite. We found that differences between
the two models appear because the phenomenologically
introduced coupling terms in the individual-laser model
are not in agreement with the boundary conditions for
side-to-side coupled-laser configuration considered here,
nor for the face-to-face coupled-laser configuration con-
sidered in Refs. [2, 35]. For this reason, we used the
semiclassical theory of coupled lasers from Ref. [1] to de-
rive a third model, called here the coupled-laser model,
with coupling terms that are consistent with the solu-
tion of the wave equation and the appropriate boundary
conditions. We showed by a bifurcation study that the
coupled-laser model captures all the relevant dynamics
found in the composite-cavity model, provided the coup-
lings is not too strong.

While this work considers a specific side-to-side
coupled laser system, the results provide general in-
sight into modelling and instabilities of coupled lasers.
Composite-cavity models are an attractive class of mod-
els, because they describe the dynamics accurately over
the entire range of coupling strengths while still allow-
ing for detailed studies of the dynamics and bifurcations.
However, when one is not interested in strong coupling,
or when the array consists of many lasers, then simpler
rate equation models are highly desirable. For example,
coupled-laser models such as Eq. (49) emerge as prom-
ising candidates for the study of nonlinear dynamics in
large two-dimensional arrays composed of non-identical
(semiconductor) lasers.

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cuits”.

APPENDIX A: SCALING

Equations (27) for the composite-cavity model,
Eqs. (31) for the individual-laser model, and Eqs. (49)
for the coupled-laser model are written in dimensionless
form; see also Ref. [35] for details. Here we denote the
dimensionless quantities with a tilde. Specifically, time \( t \)
is in units of the inverse population decay rate \( \gamma_N \),
\[
\tilde{t} = t \gamma_N
\]  
(A1)
The carrier density is given with respect to the carrier
density \( N_{thr} \) at threshold,
\[
\tilde{N} = \frac{N - N_{thr}}{N_{thr}}
\]  
(A2)
and the electric field amplitude is given with respect to
its solitary laser value twice above threshold:
\[
|\tilde{E}| = |E| \left( \frac{2\hbar \Omega \gamma_{N} N_{thr}}{\epsilon_0 n_b \gamma_E} \right)^{-1/2}.
\]  
(A3)
Furthermore, the dimensionless parameters are given as:
\[
\tilde{\Omega}_k = \frac{\Omega_k}{\gamma_N},
\]
\[
\gamma = \frac{\gamma_E}{2\gamma_N},
\]
\[
\beta = 1 + \frac{2c\Gamma \xi N_{ts}}{n_b N_{thr}},
\]
\[
\tilde{\Lambda} = \frac{\Lambda}{\gamma_N N_{thr}},
\]  
(A4)
The local gain at threshold is given by
\[
g_{thr} = \frac{n_b \gamma_E}{2c\Gamma},
\]  
(A5)
and the population density at threshold \( N_{thr} \) is
\[
N_{thr} = N_{ts} + \frac{n_b \gamma_E}{2c\Gamma \xi}.
\]  
(A6)
where \( N_{ts} \) is the population density at transparency.
Parameter values in physical units can found in Table I.

<table>
<thead>
<tr>
<th>symbol</th>
<th>meaning</th>
<th>value</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \alpha )</td>
<td>linewidth enhancement factor</td>
<td>( 0 \leq \alpha \leq 2 )</td>
</tr>
<tr>
<td>( \gamma_E )</td>
<td>cavity decay rate</td>
<td>( 1 \times 10^{11} \text{s}^{-1} )</td>
</tr>
<tr>
<td>( \gamma_N )</td>
<td>carrier decay rate</td>
<td>( 5 \times 10^{9} \text{s}^{-1} )</td>
</tr>
<tr>
<td>( \xi )</td>
<td>differential gain</td>
<td>( 2.22 \times 10^{-20} \text{m}^2 )</td>
</tr>
<tr>
<td>( \Gamma )</td>
<td>confinement factor</td>
<td>0.1</td>
</tr>
<tr>
<td>( N_{ts} )</td>
<td>carrier density at transparency</td>
<td>( 2.0 \times 10^{24} \text{m}^{-3} )</td>
</tr>
<tr>
<td>( n_b )</td>
<td>background refractive index</td>
<td>3.6</td>
</tr>
<tr>
<td>( N_{thr} )</td>
<td>carrier density at threshold</td>
<td></td>
</tr>
<tr>
<td>( \epsilon_0 )</td>
<td>dielectric constant of vacuum</td>
<td></td>
</tr>
<tr>
<td>( c )</td>
<td>speed of light in vacuum</td>
<td></td>
</tr>
<tr>
<td>( h )</td>
<td>Planck’s constant divided by ( 2\pi )</td>
<td></td>
</tr>
</tbody>
</table>

TABLE I: Laser parameters and their values.

APPENDIX B: POLAR COORDINATES

For convenience, we here rewrite the rate equation
models in polar coordinates \( |E_n(t)| \) and \( \Phi_n(t) \) with
\( \Phi_n(t) = |E_n(t)| \exp(-i\Phi_n(t)) \).
The composite-cavity model, Eqs. (27), in polar co-
ordinates reads:

\[
\frac{d|E_j|}{dt} = -\gamma |E_j| + \gamma K_{jj} \sum_{j'} \left\{ \sum_{s} \left[ K_{s,j'}^*(1 + \beta N_s) \cos (\Phi_{j'}) - \beta K_{s,j'}^* \alpha^*(1 + N_s) \sin (\Phi_{j'}) \right] \right\} |E_j|
\]

\[
\frac{d\Phi_j}{dt} = \Omega_j - \nu_j + \gamma K_{jj} \sum_{j'} \left\{ \sum_{s} \left[ \beta K_{s,j'}^* \alpha^*(1 + N_j) \cos (\Phi_{j'}) + \beta K_{s,j'}^* (1 + \beta N_s) \sin (\Phi_{j'}) \right] \right\} \frac{|E_{j'}|}{|E_j|}
\]

\[
\frac{dN_s}{dt} = \Lambda_s - (N_s + 1) - \sum_{j'} K_{s,j'}^*(1 + \beta N_s) \cos (\Phi_{j'}) |E_{j'}||E_j|.
\]

(B1)

In this equations the subscript \( j \) denotes the composite-cavity modes, \( s \) the laser active stripes, and \( \Phi_{j,j'} = \Phi_j - \Phi_{j'} \) is the time dependent phase difference between two composite-cavity modes \( j, j' \).

The individual-laser model, Eqs (31), in polar coordinates reads:

\[
\frac{d|E_n|}{dt} = \beta \gamma N_n |E_n| + \sum_{k \neq n} \kappa \cos \Phi_{kn} |E_k|
\]

\[
\frac{d\Phi_{kn}}{dt} = \Delta \Omega_{kn} + \alpha \beta \gamma (N_k - N_n)
\]

\[
- \sum_{k \neq n} \kappa \left( \frac{|E_n|^2 + |E_k|^2}{|E_k||E_n|} \right) \sin \Phi_{kn} |E_k|
\]

\[
\frac{dN_n}{dt} = \Lambda - (N_n + 1) - (1 + \beta N_n) |E_n|^2
\]

(B2)

The coupled-laser model, Eqs (49), in polar coordinates reads:

\[
\frac{d|E_n|}{dt} = \beta \gamma N_n |E_n| + \sum_{k \neq n} \kappa \sin \Phi_{kn} |E_k|
\]

\[
\frac{d\Phi_{kn}}{dt} = \Delta \Omega_{kn} + \alpha \beta \gamma (N_k - N_n)
\]

\[
- \sum_{k \neq n} \kappa \left( \frac{|E_n|^2 + |E_k|^2}{|E_k||E_n|} \right) \cos \Phi_{kn} |E_k|
\]

\[
\frac{dN_n}{dt} = \Lambda - (N_n + 1) - (1 + \beta N_n) |E_n|^2
\]

(B3)

In Eqs. (B2) and Eqs. (B3) the subscripts \( k, n \) denotes the two lasers \( A, B \), and we have introduced the detuning \( \Delta \Omega_{kn} = \Omega_k - \Omega_n \) and the phase difference \( \Phi_{kn} = \Phi_k - \Phi_n \).


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