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Association of Prenatal Diagnosis of Critical Congenital Heart Disease With Postnatal Brain Development and the Risk of Brain Injury

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IMPORTANCE The relationship of prenatal diagnosis of critical congenital heart disease (CHD) with brain injury and brain development is unknown. Given limited improvement of CHD outcomes with prenatal diagnosis, the effect of prenatal diagnosis on brain health may reveal additional benefits.

OBJECTIVE To compare the prevalence of preoperative and postoperative brain injury and the trajectory of brain development in neonates with prenatal vs postnatal diagnosis of CHD.

DESIGN, SETTING, AND PARTICIPANTS Cohort study of term newborns with critical CHD recruited consecutively from 2001 to 2013 at the University of California, San Francisco and the University of British Columbia. Term newborns with critical CHD were studied with brain magnetic resonance imaging preoperatively and postoperatively to determine brain injury severity and microstructural brain development with diffusion tensor imaging by measuring fractional anisotropy and the apparent diffusion coefficient. Comparisons of magnetic resonance imaging findings and clinical variables were made between prenatal and postnatal diagnosis of critical CHD. A total of 153 patients with transposition of the great arteries and single ventricle physiology were included in this analysis.

MAIN OUTCOMES AND MEASURES The presence of brain injury on the preoperative brain magnetic resonance imaging and the trajectory of postnatal brain microstructural development.

RESULTS Among 153 patients (67% male), 96 had transposition of the great arteries and 57 had single ventricle physiology. The presence of brain injury was significantly higher in patients with postnatal diagnosis of critical CHD (41 of 86 [48%]) than in those with prenatal diagnosis (16 of 67 [24%]) (P = .003). Patients with prenatal diagnosis demonstrated faster brain development in white matter fractional anisotropy (rate of increase, 2.2%; 95% CI, 0.1%-4.2%; P = .04) and gray matter apparent diffusion coefficient (rate of decrease, 0.6%; 95% CI, 0.1%-1.2%; P = .02). Patients with prenatal diagnosis had lower birth weight (mean, 3184.5 g; 95% CI, 3050.3-3318.6) than those with postnatal diagnosis (mean, 3397.6 g; 95% CI, 3277.6-3517.6) (P = .02). Those with prenatal diagnosis had an earlier estimated gestational age at delivery (mean, 38.6 weeks; 95% CI, 38.2-38.9) than those with postnatal diagnosis (mean, 39.1 weeks; 95% CI, 38.8-39.5) (P = .03).

CONCLUSIONS AND RELEVANCE Newborns with prenatal diagnosis of single ventricle physiology and transposition of the great arteries demonstrate less preoperative brain injury and more robust microstructural brain development than those with postnatal diagnosis. These results are likely secondary to improved cardiovascular stability. The impact of these findings on neurodevelopmental outcomes warrants further study.
Prenatal detection of congenital heart disease (CHD) has incrementally increased during the last 2 decades with improvements in ultrasonographic technology and increased rigor of screening ultrasonography in the obstetrical community.\(^1\,4\) In particular, earlier detection of critical CHD requiring intervention in the newborn period has allowed for planned deliveries at or near a tertiary hospital with a congenital cardiac surgery program and intensive care units equipped to manage these neonates.\(^5\,6\) Prenatal detection of critical CHD has been shown to improve the perioperative clinical condition of these neonates, with preserved preoperative hemodynamics and fewer life-threatening events for ductal-dependent lesions such as transposition of the great arteries (TGA) and hypoplastic left heart syndrome.\(^7\,11\) Despite these apparent benefits, initial studies of prenatal diagnosis have not shown improved surgical outcomes and have even been associated with worse survival.\(^12\) Although the survival disadvantage may be attributable to increased detection rates of more severe defects, studies have demonstrated a potential disadvantage to prenatal diagnosis in the form of earlier gestational age at delivery and lower birth weight, both of which appear to affect morbidity and mortality.\(^13\) Given conflicting effects of prenatal diagnosis, further consideration of the effect of prenatal diagnosis on postnatal physiology, including brain health, may help to maximize potential benefits.

Multiple studies have shown that acquired brain injury is common in neonates prior to corrective surgery\(^14\) and is related to a set of clinical risk factors similar to those influenced by prenatal diagnosis.\(^13\) Despite shared clinical risk factors, few studies have assessed the relationship between prenatal diagnosis of critical CHD and preoperative brain injury.\(^16\) Similarly, brain immaturity has been identified in the preoperative period in neonates with critical CHD.\(^17\,18\) However, to our knowledge, no studies have assessed the relationship between prenatal diagnosis of critical CHD and perinatal brain maturation.

The purpose of this study was to compare the prevalence of preoperative and postoperative brain injury and the trajectory of brain development in neonates with TGA and SVP with and without prenatal diagnoses. Given the improved hemodynamic state of neonates with prenatal diagnosis of critical CHD, we hypothesized that they have a lower prevalence of preoperative brain injury and improved brain maturation as compared with neonates with postnatal diagnosis for both single ventricle physiology (SVP) and TGA.

**Methods**

Between 2001 and 2013, newborns with critical CHD at the University of California San Francisco Benioff Children’s Hospital (UCSF) and the British Columbia Children’s Hospital in Vancouver, British Columbia, Canada (University of British Columbia [UBC]) were consecutively invited to participate in a prospective protocol studying brain development and brain injury in CHD using magnetic resonance imaging (MRI). Brain imaging findings from earlier versions of this cohort were reported previously.\(^14\,19\) A total of 209 patients (132 from UCSF and 77 from UBC) provided consent to participate. Patients who were born prior to 36 weeks’ gestation, had a suspected congenital infection, had clinical evidence of a congenital malformation or syndrome, and/or had a suspected or confirmed genetic or chromosomal anomaly were excluded. Once written informed consent was received, patients underwent brain MRI before and after cardiac surgery. Institutional committees on human research at both UCSF and UBC approved the study protocol.

Patients diagnosed as having TGA (n = 96) or SVP (n = 57) were included in this current study. Single ventricle physiology was defined as the presence of 1 functioning ventricle requiring a palliative surgical intervention for survival in the newborn period with either aortic or pulmonary obstruction.

**MRI Study**

Preoperative MRI studies were performed as soon as the baby could be safely transported to the MRI scanner as determined by the clinical team. Postoperative studies were performed after completion of perioperative care and prior to discharge from the hospital. Study methods, including brain imaging, were consistent across the duration of the study (see the eAppendix in the Supplement for detailed MRI methods).

Studies at UCSF were performed with pharmacologic sedation, as needed, while studies at UBC were scanned in sleep without pharmacologic sedation. No adverse events occurred during this protocol. A neuroradiologist at each site reviewed each MRI for focal, multifocal, or global changes (A.J.B. and K.J.P.). Brain injury was characterized as stroke, white matter injury (WMI), intraventricular hemorrhage (IVH), and/or global hypoxic-ischemic injury as previously described.\(^20\) Postoperative brain injuries described in this study are limited to newly acquired lesions not evident on the preoperative scan. White matter injury was classified as mild (<3 foci each <2 mm), moderate (>3 foci or any foci >2 mm), or severe (>5% of white matter volume). Intraventricular hemorrhage was characterized as grade I, II, III, or IV using the system of Papile et al.\(^21\) No patients were found to have IVH greater than grade II. Thus, for the analysis of overall brain injury in each cohort, brain injury was defined as the presence of WMI, stroke, or hypoxic-ischemic injury. In addition, brain injury severity (BIS) was de-
Determined for each patient as previously described. The BIS was assigned as follows: 0 indicates normal (no injury); 1, minimal injury (minimal WMI and IVH grade I or II); 2, stroke (all stroke); and 3, moderate to severe injury (moderate and severe WMI, IVH grade III, or global hypoxic-ischemic injury).

**Diffusion Tensor Imaging**

Diffusion tensor imaging was performed using a sequence optimized at each site for neonatal brain imaging to measure microstructural brain development. The diffusion tensor is an ellipsoid, the size and form of which manifest the direction and amount of free water diffusion. With increasing microstructural brain development, the magnitude of water diffusion motion decreases (apparent diffusion coefficient [ADC]) and the regional directionality of water motion increases (fractional anisotropy [FA]). The ADC and FA were calculated for voxels in 5 anatomical regions in the white matter (1-5) and the ADC was calculated for voxels in 4 anatomical regions in the gray matter (6-9) bilaterally using prespecified anatomical references: (i) anterior white matter; (2) central white matter; (3) posterior white matter; (4) posterior limb of the internal capsule; (5) optic radiations; (6) caudate nucleus; (7) thalamus; (8) calcarine region; and (9) hippocampus. Correct region of interest placements were confirmed by neuroradiologists at each site (A.J.B. and K.J.P.). The values from the left and right hemispheres were averaged, and a mean value was used for analysis after log transformation.

**Clinical Variables**

Clinical data were prospectively collected from the medical records by a team of trained neonatal research nurses and reviewed by a pediatric intensivist (P.M.) blinded to all neuroimaging findings. Clinical variables were extracted from the patient record for each 24-hour period.

**Statistical Analysis**

Demographic characteristics, descriptors of brain injury, and clinical variables were compared between patients with prenatal and postnatal diagnosis using standard descriptive statistics. Linear regression models compared the average diffusivity and FA of white and gray matter voxels between patients with prenatal and postnatal diagnosis. Owing to their positive skew, these variables were log transformed. Each patient contributed multiple outcomes to the analysis from 2 scans and multiple regions of interest. Generalized estimating equations with a robust variance estimator were used to account for within-patient correlation. In these models, we adjusted for center (UCSF or UBC) and gestational age at MRI. Given the differences in the distribution of CHD diagnoses across centers and because the patients underwent imaging in different MRI scanners at each center, an interaction term for site (UCSF or UBC) by region of interest was included in all analyses of MRI diffusion data. All analyses were performed with Stata version 10.0 statistical software (StataCorp LP).

**Results**

A total of 153 infants were included in the study, 96 with TGA and 57 with SVP, with a slight male predominance (67%). Cardiac anatomy as well as demographic and patient characteristics are summarized in Table 1 and Table 2. Among the 86 patients with postnatal diagnosis, 80 (93%) were born at hospitals outside the study centers. In the entire cohort, infants with prenatal diagnosis were born at a younger estimated gestational age than those with a postnatal diagnosis (prenatal: mean, 38.6 weeks; 95% CI, 38.2-38.9; postnatal: mean, 39.1 weeks; 95% CI, 38.8-39.5; \( P = .03 \)) and had a lower birth weight (prenatal: mean 3184.5 g; 95% CI, 3050.3-3318.6; postnatal: 3397.6 g; 95% CI, 3277.6-3517.6; \( P = .02 \) (Table 2).

## Table 1. Cardiac Lesions by Postnatal vs Prenatal Diagnosis of Critical Congenital Heart Disease

<table>
<thead>
<tr>
<th>Cardiac Lesion</th>
<th>Postnatal Diagnosis (n = 86)</th>
<th>Prenatal Diagnosis (n = 67)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>(n = 153)</td>
<td></td>
</tr>
<tr>
<td>TGA</td>
<td>96 (63)</td>
<td>68 (71)</td>
</tr>
<tr>
<td>TGA with VSD</td>
<td>41 (27)</td>
<td>28 (68)</td>
</tr>
<tr>
<td>SVP</td>
<td>57 (37)</td>
<td>18 (32)</td>
</tr>
<tr>
<td>Aortic arch obstruction</td>
<td>48 (31)</td>
<td>17 (35)</td>
</tr>
<tr>
<td>Pulmonary obstruction</td>
<td>9 (6)</td>
<td>1 (11)</td>
</tr>
</tbody>
</table>

**Abbreviations:** SVP, single ventricle physiology; TGA, transposition of the great arteries; VSD, ventricular septal defect.

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Postnatal Diagnosis (n = 86)</th>
<th>Prenatal Diagnosis (n = 67)</th>
<th>P Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male, No. (%)</td>
<td>58 (67)</td>
<td>44 (66)</td>
<td>.82 a</td>
</tr>
<tr>
<td>EGA at delivery, mean</td>
<td>39.1 (38.8-39.5)</td>
<td>38.6 (38.2-38.9)</td>
<td>.03 b</td>
</tr>
<tr>
<td>Birth weight, mean</td>
<td>3397.6 (3277.6-3517.6)</td>
<td>3184.5 (3050.3-3318.6)</td>
<td>.02 c</td>
</tr>
<tr>
<td>Cesarean delivery, No.</td>
<td>23 (27)</td>
<td>17 (25)</td>
<td>.85</td>
</tr>
<tr>
<td>Maternal education score</td>
<td>5.0 (1.6)</td>
<td>5.1 (1.6)</td>
<td>.83</td>
</tr>
</tbody>
</table>

**Abbreviation:** EGA, estimated gestational age.

a Calculated by \( \chi^2 \) test.
b Calculated by 2-sample t test.
c Maternal education is represented by the Hollingshead score, with a higher score indicating a higher level of education. A mean score of 5 represents partial college completion.
The presence of preoperative brain injury was significantly lower in patients with prenatal diagnosis (16 of 67 patients [24%]) than in those with postnatal diagnosis (41 of 86 patients [48%]) \((P = .003)\) (Table 3). This remained true among patients with TGA and SVP. A subanalysis of patients with SVP excluding those with pulmonary outflow tract obstruction revealed the same pattern. The watershed predominant pattern of hypoxic-ischemic injury was rare in the cohort, seen in only 2 neonates, both of whom had postnatal diagnosis. The presence of postoperative brain injury was similar in the prenatal and postnatal diagnosis groups \((P = .48)\). A test for trends demonstrated less severe preoperative brain injury (lower BIS) in the patients with prenatal diagnosis (Figure 1). However, there was no difference in new postoperative brain injury severity (Figure 1).

To assess postnatal brain maturation, we analyzed change in FA and ADC over time from preoperative to postoperative MRIs in the 2 groups for both white and gray matter regions, accounting for study site and region of interest on the MRI (Figure 2). As expected, FA in white matter increased significantly with increasing age in the entire cohort (3% increase in FA with each week of increase in age; \(P < .001\)). However, the prenatal diagnosis group had a faster rate of increase in FA as compared with the postnatal diagnosis group (2.2% increase in the difference with each week of increase in age; 95% CI, 0.1%-4.2%; \(P = .04\)). Similarly, ADC in gray matter decreased significantly with increasing age (1.2% decrease in ADC with each week of increase in age; \(P < .001\)), but the prenatal diagnosis group had a faster rate of decrease in ADC as compared with the postnatal diagnosis group (0.6% increase in the difference with each week of increase in age; 95% CI, 0.1%-1.2%; \(P = .02\)). The rate of decrease in ADC in white matter was not significantly different between the groups (0.3%; 95% CI, −0.7% to 1.2%; \(P = .55\)). Analysis of the data by specific lesion (TGA and SVP) demonstrated identical trends but no meaningful differences by prenatal diagnosis as a result of smaller sample size.

Preoperative clinical variables for patients with TGA and SVP are summarized in eTable 1 and eTable 2 in the Supplement. Most clinical variables were similar between the prenatal and postnatal diagnosis groups. However, the group with postnatally diagnosed TGA appeared to have low preoperative oxygen saturation (mean, 58.3%). Similarly, the group with postnatally diagnosed SVP appeared to have low preoperative pH (mean, 7.22), low base excess (mean, −7.0 mEq/L [to convert to millimoles per liter, multiply by 1.0]), and 3 cases of cardiac arrest. The preoperative brain MRI was performed at an earlier age in the prenatal group for both patients with TGA and those with SVP. Patients with SVP underwent surgery at an earlier age in the prenatal diagnosis group (median, 6 days; interquartile range, 4-8 days) than in the postnatal diagnosis group (median, 9.5 days; interquartile range, 6-12.5 days) \((P = .008)\).

### Discussion

Our results demonstrate a lower prevalence of preoperative brain injury and better postnatal microstructural brain development in patients with prenatally diagnosed TGA and SVP as compared with those who had a postnatal diagnosis. Specifically, there was a 50% (gray matter voxels) to 70% (white matter voxels) increase in the rate of brain development in patients with prenatal diagnosis in this very short period from preoperative to postoperative imaging. Our findings suggest favorable preoperative clinical characteristics for infants with prenatal diagnosis, consistent with prior literature\(^7,9-11\); however, this is the first report, to our knowledge, demonstrating improved brain development with prenatal diagnosis in a large cohort of well-characterized patients across 2 centers.

Consistent with prior reports, WMI was the most frequent pattern of injury in patients with SVP.\(^{14,20,23}\) The mechanism of WMI is thought to be secondary to hypoxic-ischemic and inflammatory injury to susceptible immature premelamin-
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Figure 1. Preoperative and Postoperative Brain Injury Severity by Postnatal vs Prenatal Diagnosis of Critical Congenital Heart Disease

Brain injury severity on preoperative magnetic resonance imaging (MRI) in patients with postnatal (A) and prenatal (B) diagnosis of critical congenital heart disease as well as on postoperative MRI in patients with postnatal (C) and prenatal (D) diagnosis of critical congenital heart disease. Brain injury severity was assigned as the following: 0 indicates no injury; 1, minimal white matter injury and intraventricular hemorrhage grade I or II; 2, stroke; and 3, moderate to severe white matter injury, intraventricular hemorrhage grade III, or global hypoxic-ischemic brain injury. A test for trends demonstrates a significant trend toward less severe brain injury on preoperative MRI in the prenatal diagnosis group (P = .02). There was no meaningful difference in new postoperative brain injury severity (P = .40).

After adjusting for site and age at scan, patients with prenatal diagnosis demonstrated more robust postnatal microstructural brain development (change in FA and ADC from the preoperative to postoperative scan) in both white and gray matter as compared with patients who had postnatal diagnosis. We observed steeper increases in white matter FA of up to 10 days of operation. However, there may be a trend toward an earlier operation in the prenatal group. Although this

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Postnatal diagnosis often leads to earlier gestational age at surgery, more intensive preoperative monitoring, and less time to surgery, which are ongoing. In addition, earlier anatomical repair may allow for improved postnatal brain growth and development. Supporting this idea, a recent study across 2 centers found that among patients with prenatally diagnosed aortic arch obstruction, the center with a younger age at surgery, more intensive preoperative monitoring, and less exposure to risk factors (e.g., infection) demonstrated less preoperative brain injury. Similarly, a recent single-center study of patients with hypoplastic left heart syndrome concluded that an earlier operation may be beneficial in preventing new postoperative brain injury.31

Optimizing preoperative conditions and minimizing time to surgery may be critical to realizing the potential benefits of prenatal diagnosis. Patients with a prenatal diagnosis appear to be healthier in the preoperative period, likely secondary to the early initiation of prostaglandin E1, as seen in prior studies. However, studies have repeatedly demonstrated no difference in mortality when comparing prenatal vs postnatal diagnosis of complex CHD. In addition, prenatal diagnosis often leads to earlier gestational age at birth and lower birth weight, both associated with poor clinical outcomes such as mortality and an increased length of stay for neonates with critical CHD. Similarly, early studies of prenatal diagnosis found no benefits in long-term neurodevelopmental outcome, a finding potentially explained by early preterm delivery and higher rates of preoperative intensive care therapies. In contrast, Calderon et al demonstrated improved long-term neurodevelopmental outcomes for patients with prenatally diagnosed TGA. Our findings reiterate this duality of prenatal diagnosis. Although it would seem likely that lower rates of preoperative brain injury and improved brain development outweigh negative effects of late preterm delivery, this remains to be determined by definitive studies of neurodevelopmental outcome, which are ongoing.

Conclusions

Prenatal diagnosis of SVP and TGA appears to be protective against preoperative brain injury and is associated with a faster rate of brain growth in the postnatal period. The mechanism behind these findings is likely related to a better hemodynamic state as a result of earlier use of prostaglandin E1. However, it remains to be determined how this potential protective effect relates to long-term outcomes as it is unlikely to influence other patient-specific risk factors such as genetic predispositions, intraoperative injuries, or postoperative hemodynamics. Further studies are needed to determine whether decreased preoperative brain injury and improved brain growth related to prenatal diagnosis translate into better long-term neurodevelopmental outcomes.

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Prenatal Critical Congenital Heart Disease Diagnosis and Brain Health

Original Investigation  Research

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