Model-independent search for CP violation in $D^0 \to K^- K^+ \pi^- \pi^+$ and $D^0 \to \pi^- \pi^+ \pi^+ \pi^-$ decays

LHCb Collaboration

1. Introduction

Standard Model predictions for the magnitude of CP violation (CPV) in charm meson decays are generally of $\mathcal{O}(10^{-3})$ [1,2], although values up to $\mathcal{O}(10^{-2})$ cannot be ruled out [3,4]. The size of CPV can be significantly enhanced in new physics models [5,6], making charm transitions a promising area to search for new physics. Previous searches for CPV in charm decays caused a large interest in the community [7–9] and justify detailed searches for CPV in many different final states. Direct CPV can occur when at least two amplitudes interfere with strong and weak phases that each differ from one another. Singly-Cabibbo-suppressed charm hadron decays, where both tree processes and electroweak loop processes can contribute, are promising channels with which to search for CPV. The rich structure of interfering amplitudes makes four-body decays ideal to perform such searches.

The phase-space structures of the $D^0 \to K^- K^+ \pi^- \pi^+$ and $D^0 \to \pi^- \pi^+ \pi^+ \pi^-$ decays are investigated for localised CPV in a manner that is independent of an amplitude model of the $D^0$ meson decay. The Cabibbo-favoured $D^0 \to K^- K^+ \pi^- \pi^-$ decay, where no significant direct CPV is expected within the Standard Model, is used as a control channel. A model-dependent search for CPV in $D^0 \to K^- K^+ \pi^- \pi^+$ was previously carried out by the CLEO Collaboration [10] with a data set of approximately 3000 signal decays, where no evidence for CPV was observed. This analysis is carried out on a data set of approximately $5.7 \times 10^4$ $D^0 \to K^- K^+ \pi^- \pi^+$ decays and $3.3 \times 10^5$ $D^0 \to \pi^- \pi^+ \pi^+ \pi^-$ decays. The data set is based on an integrated luminosity of 1.0 fb$^{-1}$ of $pp$ collisions with a centre-of-mass energy of 7 TeV, recorded by the LHCb experiment during 2011.
the study of particles containing b or c quarks. The detector includes a high-precision tracking system consisting of a silicon-strip vertex detector surrounding the pp interaction region, a large-area silicon-strip detector located upstream of a dipole magnet with a vertically oriented magnetic field and bending power of about 4 Tm, and three stations of silicon-strip detectors and straw drift tubes placed downstream. To alleviate the impact of charged particle–antiparticle detection asymmetries, the magnetic field polarity is switched regularly, and data are taken in each polarity. The two magnet polarities are henceforth referred to as “magnet up” and “magnet down”. The combined tracking system provides momentum measurement with relative uncertainty that varies from 0.4% at 5 GeV/c to 0.6% at 100 GeV/c, and impact parameter resolution of 20 μm for tracks with high transverse momentum. Charged hadrons are identified with two ring-imaging Cherenkov (RICH) detectors [15]. Photon, electron, and hadron candidates are identified by a calorimeter system consisting of scintillating-pad and preshower detectors, an electromagnetic calorimeter, and a hadronic calorimeter. Muons are identified by a system composed of alternating layers of iron and multiwire proportional chambers. The trigger consists of a hardware stage, based on information from the calorimeter and muon systems, followed by a software stage [16]. Events are required to pass both hardware and software trigger levels. The software trigger optimised for the reconstruction of four-body hadronic charm decays requires a four-track secondary vertex with a scalar sum of the transverse momenta, pT, of the tracks greater than 2 GeV/c. At least two tracks are required to have pT > 500 MeV/c and momentum, p, greater than 5 GeV/c. The remaining two tracks are required to have pT > 250 MeV/c and p > 2 GeV/c. A requirement is also imposed on the χ^2 of the impact parameter (χ^2_0) of the remaining two tracks with respect to any primary interaction to be greater than 10, where χ^2_0 is defined as the difference in χ^2 of a given primary vertex reconstructed with and without the considered track.

3. Selection

Candidate D^0 decays are reconstructed from combinations of pion and kaon candidate tracks. The D^0 candidates are required to have pT > 3 GeV/c. The D^0 decay products are required to have p > 3 GeV/c and pT > 350 MeV/c. The D^0 decay products are required to form a vertex with a χ^2 per degree of freedom (χ^2/ndf) less than 10 and a maximum distance of closest approach between any pair of D^0 decay products less than 0.12 mm. The RICH system is used to distinguish between kaons and pions when reconstructing the D^0 candidate. The D^{*+} candidates are reconstructed from D^0 candidates combined with a track with pT > 120 MeV/c. Decays are selected with candidate D^0 mass, m(hhhh), of 1804 < m(hhhh) < 1924 MeV/c^2, where the notation m(hhhh) denotes the invariant mass of any of the considered final states; specific notations are used where appropriate. The difference, Δm, in the reconstructed D^{*+} mass and m(hhhh) for candidate decays is required to be 137.9 < Δm < 155.0 MeV/c^2. The decay vertex of the D^* is constrained to coincide with the primary vertex [17].

Differences in D^{*+} and D^{*-} meson production and detection efficiencies can introduce asymmetries across the phase-space distributions of the D^0 decay. To ensure that the soft pion is detected in the central region of the detector, fiducial cuts on its momentum are applied, as in Ref. [5]. The D^0 and D^* candidates are weighted by removing events so that they have same transverse momentum and pseudorapidity distributions. To further cancel detection asymmetries the data set is selected to contain equal quantities of data collected with each magnetic field polarity. Events are randomly removed from the largest subsample of the two magnetic field polarity configurations.

Each data sample is investigated for background contamination. The reconstructed D^0 mass is searched for evidence of backgrounds from misreconstructed D^0 decays in which K/π misidentification has occurred. Candidates in which only a single final-state particle is misidentified are reconstructed outside the m(hhhh) signal range. No evidence for candidates with two, three, or four K/π misidentifications is observed. Charm mesons from b-hadron decays are strongly suppressed by the requirement that the D^0 candidate originates from a primary vertex. This source of background is found to have a negligible contribution.

4. Method

Fig. 1 shows the m(hhhh) and Δm distributions for D^0 candidate decays to the final states K^-+π^-π^+π^-, π^-+π^-π^-π^+, and K^-+π^-π^-π^-, for data taken with magnet up polarity. The distributions for D^0 candidates and data taken with magnet down polarity are consistent with the distributions shown. Two-dimensional unbinned likelihood fits are made to the m(hhhh) and Δm distributions to separate signal and background contributions. Each two-dimensional [m(hhhh), Δm] distribution includes contributions from the following sources: signal D^0 mesons from D^{*+} decays, which peak in both m(hhhh) and Δm; combinatorial background candidates, which do not peak in either m(hhhh) or Δm; background candidates from an incorrect association of a soft pion with a real D^0 meson, which peak in m(hhhh) and not in Δm; incorrectly reconstructed D^{*+} → K^-+π^-π^+π^- decays, which peak at low values of m(hhhh) but not in Δm; and misreconstructed D^{*+} → K^-+π^-π^-π^0 decays, which have broad distributions in both m(hhhh) and Δm. The signal distribution is described by a Crystal Ball function [18] plus a Gaussian function, with a shared peak value, in m(hhhh) and Johnson function [19] of the form

\[
J(Δm) ≈ \frac{\exp(-\frac{1}{2}y + \delta \sinh^{-1}(\frac{Δm-μ}{\sigma}))^2}{\sqrt{1 + (\frac{Δm-μ}{\sigma})^2}}
\]

in Δm. The combinatorial background is modelled with a first-order polynomial in m(hhhh), and the background from D^0 candidates each associated with a random soft pion is modelled by a Gaussian distribution in m(hhhh). Both combinatorial and random soft pion backgrounds are modelled with a function of the form

\[
f(Δm) = [(Δm - Δm_0) + p_1(Δm - Δm_0)^2]^a
\]

in Δm, where Δm_0 is the kinematic threshold (fixed to the pion mass), and the parameters p_1 and a are allowed to float. Partially reconstructed D^{*+} → K^-+π^-π^-π^+ decays, where a single pion is not reconstructed, are investigated with simulated decays. This background is modelled with a Gaussian distribution in m(hhhh) and with a function f(Δm) as defined in Eq. (3). Misreconstructed D^{*+} → K^-+π^-π^-π^0 decays, where a single K/π misidentification has occurred and where the π^0 is not reconstructed are modelled with a shape from simulated decays. Other potential sources of background are found to be negligible.

For each two-dimensional [m(hhhh), Δm] distribution a fit is first performed to the background region, 139 < Δm < 143 MeV/c^2 or 149 < Δm < 155 MeV/c^2, to obtain the shapes of the combinatorial and soft pion backgrounds. The Δm components of these shapes are fixed and a two-dimensional fit is subsequently performed simultaneously over four samples (D^0 magnet up, D^0 magnet down, D^{*} magnet up, D^{*} magnet down). The peak positions and widths of the signal shapes and all yields are allowed to vary independently for each sample, whilst all other parameters are shared among the four fits. A signal yield of 5.7 × 10^4
The phase space of a spin-0 decay to four pseudoscalars can be described with five invariant mass-squared combinations: $s(1, 2, 3), s(1, 2, 3), s(2, 3), s(2, 3, 4),$ and $s(3, 4),$ where the indices 1, 2, 3, and 4 correspond to the decay products of the $D^0$ meson following the ordering of the decay definitions. The ordering of identical final-state particles is randomised.

The rich amplitude structures are visible in the invariant mass-squared distributions for $D^0$ and $D^*_0$ decays to the final states $K^- K^+ \pi^- \pi^+$ and $\pi^- \pi^+ \pi^+ \pi^-$, shown in Figs. 2 and 3, respectively. The momenta of the final-state particles are calculated with the decay vertex of the $D^0$ constrained to coincide with the primary vertex and the mass of the $D^0$ candidates constrained to the world average value of 1864.86 MeV/$c^2$ [22].

An adaptive binning algorithm is devised to partition the phase space of the decay into five-dimensional hypercubes. The bins are defined such that each contains a similar number of candidates, resulting in fine bins around resonances and coarse bins across sparsely populated regions of phase space.

For each phase-space bin, $S_{cp}^i$, defined in Eq. (1), is calculated. The number of signal events in bin $i$, $N_i$, is calculated as the sum of the signal weights in bin $i$ and $\sigma_i^2$ is the sum of the squared weights. The normalisation factor, $\alpha$, is calculated as the ratio of the sum of the weights for $D^0$ candidates and the sum of the weights for $D^0$ candidates and is $1.001 \pm 0.008, 0.996 \pm 0.003,$ and $0.998 \pm 0.001$ for the final states $K^- K^+ \pi^- \pi^+$, $\pi^- \pi^+ \pi^+ \pi^-$, and $K^- \pi^+ \pi^+ \pi^-$, respectively.

5. Production and instrumental asymmetries

Checks for remaining production or reconstruction asymmetries are carried out by comparing the phase-space distributions from a variety of data sets designed to test particle/antiparticle detection asymmetries and “left/right” detection asymmetries. The “left” direction is defined as the bending direction of a positively charged particle with the magnet up polarity. Asymmetries in the background are studied with weighted background candidates and mass sidebands.
Left/right asymmetries in detection efficiencies are investigated by comparing the phase-space distributions of $D^0$ candidates in data taken with opposite magnet polarities, thus investigating the same flavour particles in opposite sides of the detector. Particle/antiparticle asymmetries are studied with the control channel $D^0 \to K^-\pi^+\pi^+\pi^-$. The weighting based on $p_T$ and pseudorapidity of the $D^0$ candidate and the normalisation across the phase space of the $D^0$ decay cancel the $K^+/K^-$ detection asymmetry in this control channel. The phase-space distribution of $D^0$ decays from data taken with one magnet polarity is compared with that of $D^0$ decays from data taken with the opposite magnet polarity, for any sources of particle/antiparticle detection asymmetry, localised across the phase space of the $D^0$ decay.

The weighted distributions for each of the background components in the two-dimensional fits are investigated for asymmetries in $D^0 \to K^-\pi^+\pi^+\pi^-$. $D^0 \to \pi^-\pi^+\pi^+\pi^-$, and $D^0 \to K^-\pi^+\pi^+\pi^-$ candidates. The $\Delta m$ and $m_{hhhh}$ sidebands are also investigated to identify sources of asymmetry.

The sensitivity to asymmetries is limited by the sample size, so $S_{CP}$ is calculated only with statistical uncertainties.

6. Sensitivity studies

Pseudo-experiments are carried out to investigate the dependence of the sensitivity on the number of bins. Each pseudo-experiment is generated with a sample size comparable to that available in data.

Decays are generated with MINT, a software package for amplitude analysis of multi-body decays that has also been used by the CLEO Collaboration [10]. A sample of $D^0 \to K^-K^+\pi^-\pi^+$ decays is generated according to the amplitude model reported by CLEO [10], and $D^0 \to \pi^-\pi^+\pi^+\pi^-$ decays are generated according to the amplitude model from the FOCUS Collaboration [21]. Phase and magnitude differences between $D^0$ and $D^0$ decays are introduced. Fig. 4 shows the $S_{CP}$ distributions for a typical pseudo-experiment in which no CPV is present and for a typical pseudo-experiment with a phase difference of $10^\circ$ between $D^0 \to a_1(1260)^-\pi^-$ and $D^0 \to a_1(1260)^-\pi^+$ decays.

Based on the results of the sensitivity study, a partition with 32 bins, each with approximately 1800 signal events, is chosen for $D^0 \to K^-K^+\pi^-\pi^+$ decays while a partition with 128 bins,
Fig. 3. Invariant mass-squared distributions for \(D^0\) meson (black, closed circles) and \(\bar{D}^0\) meson (red, open squares) decays to the final state \(\pi^+\pi^-\pi^+\pi^-\). The invariant mass-squared combinations \(s(1, 2), s(2, 3), s(1, 2, 3), s(2, 3, 4)\), and \(s(3, 4)\) correspond to \(s(\pi^-\pi^+\pi^-\pi^+), s(\pi^-\pi^+\pi^+\pi^-), s(\pi^-\pi^-\pi^+\pi^-), \) and \(s(\pi^-\pi^-\pi^+\pi^-)\), respectively for the \(D^0\) mode. The charge conjugate is taken for the \(\bar{D}^0\) mode. Owing to the randomisation of the order of identical final-state particles the invariant mass-squared distributions \(s(2, 3, 4)\) and \(s(3, 4)\) are statistically compatible with the invariant mass-squared distributions \(s(1, 2, 3)\) and \(s(1, 2)\), respectively. As such the invariant mass-squared distributions \(s(2, 3, 4)\) and \(s(3, 4)\) are not shown. The phase-space distribution of the \(D^0 \rightarrow a_1(1260)^0\pi^0\) and \(D^0 \rightarrow \rho^0\rho^0\) decays [21]. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this Letter.)

Fig. 4. Distributions of \(S_{CP}\) for (a) a typical pseudo-experiment with generated \(D^0 \rightarrow \pi^-\pi^+\pi^-\pi^+\) decays without CPV and for (b) a typical pseudo-experiment with a generated \(10^6\) phase difference between \(D^0 \rightarrow a_1(1260)^0\pi^-\) and \(\bar{D}^0 \rightarrow a_1(1260)^0\pi^+\) resonant decays. The points show the data distribution and the solid line is a reference Gaussian distribution corresponding to the no CPV hypothesis. The corresponding \(p\)-values under the hypothesis of no asymmetry for (a) decays without CPV and (b) decays with a \(10^6\) phase difference between \(D^0 \rightarrow a_1(1260)^0\pi^-\) and \(\bar{D}^0 \rightarrow a_1(1260)^0\pi^+\) resonant components are \(85.6\%\) and \(1.1 \times 10^{-16}\), respectively.

7. Results

Asymmetries are searched for in the \(D^0 \rightarrow K^-\pi^+\pi^+\pi^-\) control channel. The distributions of \(S_{CP}\) and local CP asymmetry, defined as

\[
A_{CP}^L \equiv \frac{N_i(D^0) - \alpha N_i(\bar{D}^0)}{N_i(D^0) + \alpha N_i(\bar{D}^0)},
\]

are shown in Fig. 5 for the \(D^0 \rightarrow K^-\pi^+\pi^+\pi^-\) control channel. The data set is also studied to identify sources of asymmetry with two alternative partitions and by separating data taken with each magnet polarity. The results, displayed in Table 1, show that no asymmetry is observed in \(D^0 \rightarrow K^-\pi^+\pi^+\pi^-\) decays. Furthermore, the data sample is split into 10 time-ordered samples of approximately equal size, for each polarity. The \(p\)-values under the hypothesis of no asymmetry are uniformly distributed across the data taking period. No evidence for a significant asymmetry in any bin is found.

The \(S_{CP}\) and local CP asymmetry distributions for \(D^0 \rightarrow K^-\pi^+\pi^-\) decays for a partition containing 32 bins and for \(D^0 \rightarrow \pi^-\pi^+\pi^-\pi^+\) decays with a partition containing 128 bins are shown in Fig. 5. The \(p\)-values under the hypothesis of no CP violation for the decays \(D^0 \rightarrow K^-\pi^+\pi^-\) and \(D^0 \rightarrow \pi^-\pi^+\pi^-\pi^+\) are \(9.1\%\) and \(41\%\), respectively, the corresponding \(\chi^2/\text{ndf}'s\) are
shown in Table 2. The consistency of the result is checked with alternative partitions, shown in Table 3. In each case the result is consistent with the no CPV hypothesis.

The stability of the results is checked for each polarity in 10 approximately equal-sized, time-ordered data samples. The $p$-values are uniformly distributed across the 2011 data taking period and are consistent with the no CPV hypothesis.

8. Conclusions

A model-independent search for CPV in $5.7 \times 10^4$ $D^0 \rightarrow K^- K^+ \pi^- \pi^+$ decays and $3.3 \times 10^5$ $D^0 \rightarrow \pi^- \pi^+ \pi^- \pi^+$ decays is presented. The analysis is sensitive to CPV that would arise from a phase difference of $O(10^\circ)$ or a magnitude difference
of $O(10\%)$ between $D^0 \rightarrow \phi \phi^0$ and $D^0 \rightarrow \phi \phi^0$ decays for the $D^0 \rightarrow K^- K^+ \pi^- \pi^+$ mode and between $D^0 \rightarrow a_1(1260) + \pi^-$ and $D^0 \rightarrow a_1(1260) + \pi^-$ decays for the $D^0 \rightarrow \pi^- \pi^+ \pi^- \pi^+$ mode. For none of the 32 bins, each with approximately 1800 signal events, is an asymmetry greater than 6.5% observed for $D^0 \rightarrow K^- K^+ \pi^- \pi^+$ decays, and for none of the 128 bins, each with approximately 2500 signal events, is an asymmetry greater than 5.5% observed for $D^0 \rightarrow \pi^- \pi^+ \pi^- \pi^+$ decays. Assuming CP conservation, the probabilities to observe local asymmetries across the phase space of the $D^0$ meson decay as large or larger than those in data for the decays $D^0 \rightarrow K^- K^+ \pi^- \pi^+$ and $D^0 \rightarrow \pi^- \pi^+ \pi^- \pi^+$ are 9.1% and 41%, respectively. All results are consistent with CP conservation at the current sensitivity.

Acknowledgements

We express our gratitude to our colleagues in the CERN accelerator departments for the excellent performance of the LHC. We thank the technical and administrative staff at the LHCb institute, and we acknowledge support from the CERN accelerator departments and from the CERN experimental departments. The Excellence Initiative of the German Federal and State Governments and the excellence clusters “Univex” (Bielefeld) and “Nanoscale” (Bochum-Duisburg) are acknowledged for the excellent working conditions that they provide. We gratefully acknowledge the computing resources put at our disposal by Yandex LLC (Russia), as well as to the communities behind the multiple open source software packages that we depend on.

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References


1 Centro Brasileiro de Pesquisas Fisicas (CBPF), Rio de Janeiro, Brazil
2 Universidade Federal do Rio de Janeiro (UFRJ), Rio de Janeiro, Brazil
3 Center for High Energy Physics, Tsinghua University, Beijing, China
Università di Pisa, Pisa, Italy.
Scuola Normale Superiore, Pisa, Italy.
Associated to: Universidade Federal do Rio de Janeiro (UFRJ), Rio de Janeiro, Brazil.
Associated to: Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany.
Associated to: European Organization for Nuclear Research (CERN), Geneva, Switzerland.